

Galois structure of vanishing cycles

Victor Snaith

Dedicated to Hyman Bass with great respect

1 Introduction

The purpose of this paper is to make a few elementary remarks about the action of the Galois group of a local field on vanishing cycles in relative codimension one. There is a formula due to Deligne and Kato for the dimension of the group of vanishing cycles in this situation

$$\dim_{\Lambda}(H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F}))) = \phi(\eta) - \phi(s) + 2\delta_k \dim_{\Lambda}(\mathcal{F}).$$

Precise details of the context and of the Deligne-Kato formula are given in §3 and §4.4.

There is a canonical action of the absolute Galois group $G(\bar{k}/k)$ on the vanishing cycles $H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F}))$ and the problem of analysing this Galois action is posed in ([16] p.632).

Let k be a local field and Λ a finite field of characteristic l different from the residue characteristic of k . Then the cohomology group

$$H^{s+1}(X \times_{\text{Spec}(k)} \text{Spec}(L); u_1(\mathcal{F})) \cong H^s(L; H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F})))$$

can only possibly be non-zero for $s = 0, 1, 2$ for each finite Galois extension L/k . As a contribution to the Galois structure problem posed in ([16] p.632) we derive a relation between the annihilator ideals of the $\mathbf{Z}_l[G(L/k)]$ -modules $H^j(X \times_{\text{Spec}(k)} \text{Spec}(L); u_1(\mathcal{F}))$ in the case when the Galois group is abelian.

The structure of the paper is as follows: In §2 we explain how to derive annihilator relations (Theorem 2.7) of the sort we want from elements of the relative K-group $K_0(\mathbf{Z}_l[G(L/k)], \mathbf{Q}_l)$. This is very simple algebra and is a slight generalisation of the phenomenon discovered in ([37] Chapter 7; see also [38]). In §3 we construct a “refined Euler characteristic”, an invariant lying in $K_0(\mathbf{Z}_l[G(L/k)], \mathbf{Q}_l)$, from the vanishing cycles in relative codimension one. When $G(L/k)$ is abelian this invariant is represented by an element

$$\det(\Phi) \in \frac{\mathbf{Q}_l[G(L/k)]^*}{\mathbf{Z}_l[G(L/k)]^*}$$

which enters into the annihilator relation of Theorem 4.2. In §§4.3-4.6 we discuss some clues which point to a connection between the Kato-Swan conductor and $\det(\Phi)$.

This all adds up to a very interesting phenomenon which has the prospect of some very intriguing formulae. Unfortunately, at the moment, I have no substantial examples to present but I hope to return to this topic in a future paper.

I am very grateful to Igor Zhukov for introducing me to the topic of Swan conductors in algebraic geometry – in particular to the results and problems which appear in ([1], [2], [3], [6], [7], [15], [16], [17], [18], [19], [21], [22], [23], [24], [25], [30], [31], [32], [41], [42], [43], [44]). I am also very grateful to the Royal Society for the Joint Projects Grant which made possible my visits to St. Petersburg and Igor Zhukov's to Southampton during 2000-2002. In fact, this work was started during the first of those visits.

2 $K_0(\mathbf{Z}_l[G], \mathbf{Q}_l)$ and annihilator relations

2.1 Let l be a prime, G a finite group and let $f : \mathbf{Z}_l[G] \rightarrow \mathbf{Q}_l[G]$ denote the homomorphism of group-rings induced by the inclusion of the l -adic integers into the fraction field, the l -adic rationals. Write $K_0(\mathbf{Z}_l[G], \mathbf{Q}_l)$ for the relative K-group of f , denoted by $K_0(\mathbf{Z}_l[G], f)$ in ([39] p.214; see also [37] Definition 2.1.5). By ([39] Lemma 15.6) elements of $K_0(\mathbf{Z}_l[G], \mathbf{Q}_l)$ are represented by triples $[A, g, B]$ where A, B are finitely generated, projective $\mathbf{Z}_l[G]$ -modules and g is a $\mathbf{Q}_l[G]$ -module isomorphism of the form $g : A \otimes_{\mathbf{Z}_l} \mathbf{Q}_l \xrightarrow{\cong} B \otimes_{\mathbf{Z}_l} \mathbf{Q}_l$. subject to relations described in [39]. This group fits into a localisation sequence of the form ([29] §5 Theorem 5; [12] p.233)

$$K_1(\mathbf{Z}_l[G]) \xrightarrow{f_*} K_1(\mathbf{Q}_l[G]) \xrightarrow{\partial} K_0(\mathbf{Z}_l[G], \mathbf{Q}_l) \xrightarrow{\pi} K_0(\mathbf{Z}_l[G]) \xrightarrow{f_*} K_0(\mathbf{Q}_l[G]).$$

Assume now that G is abelian. In this case $K_1(\mathbf{Q}_l[G]) \cong \mathbf{Q}_l[G]^*$ because $\mathbf{Q}_l[G]$ is a product of fields and $K_1(\mathbf{Z}_l[G]) \cong \mathbf{Z}_l[G]^*$ ([5]I p.179 Theorem (46.24)). Under these isomorphisms f_* is identified with the canonical inclusion.

The homomorphism, $K_0(\mathbf{Z}_l[G]) \xrightarrow{f_*} K_0(\mathbf{Q}_l[G])$, is injective for all finite groups G ([34] Theorem 34 p.131; [5]II p.47 Theorem 39.10). Alternatively, when G is abelian, $\mathbf{Z}_l[G]$ is semi-local and the injectivity of f_* follows from the fact that a finitely generated projective module over a local ring is free ([8] p.124 Corollary 4.8 and p.205 Exercise 7.2). Thus the localisation sequence yields an isomorphism of the form

$$K_0(\mathbf{Z}_l[G], \mathbf{Q}_l) \cong \frac{\mathbf{Q}_l[G]^*}{\mathbf{Z}_l[G]^*}$$

when G is abelian. From the explicit description of ∂ ([39] p.216) this isomorphism sends the coset of $\alpha \in \mathbf{Q}_l[G]^*$ to $[\mathbf{Z}_l[G], (\alpha \cdot -), \mathbf{Z}_l[G]]$. The inverse isomorphism sends $[A, g, B]$, where A and B may be assumed to be free $\mathbf{Z}_l[G]$ -modules,

to the coset of $\det(g) \in \mathbf{Q}_l[G]^*$ with respect to any choice of $\mathbf{Z}_l[G]$ -bases for A and B .

Example 2.2 We shall be particularly interested in the following source of elements of $K_0(\mathbf{Z}_l[G], \mathbf{Q}_l)$.

As in §2.1, let l be a prime and let G be a finite abelian group. Suppose that

$$0 \longrightarrow F_k \xrightarrow{d_k} F_{k-1} \xrightarrow{d_{k-1}} \dots \xrightarrow{d_2} F_1 \xrightarrow{d_1} F_0 \longrightarrow 0$$

is a bounded complex of finitely generated, projective $\mathbf{Z}_l[G]$ -modules (i.e. a *perfect* complex of $\mathbf{Z}_l[G]$ -modules), having all its homology groups finite.

As usual, let $Z_t = \text{Ker}(d_t : F_t \longrightarrow F_{t-1})$ and $B_t = d_{t+1}(F_{t+1}) \subseteq F_t$ denote the $\mathbf{Z}_l[G]$ -modules of t -dimensional cycles and boundaries, respectively. We have short exact sequences of the form

$$0 \longrightarrow B_i \xrightarrow{\phi_i} Z_i \longrightarrow H_i(F_*) \longrightarrow 0$$

and

$$0 \longrightarrow Z_{i+1} \xrightarrow{\psi_{i+1}} F_{i+1} \xrightarrow{d_{i+1}} B_i \longrightarrow 0.$$

Applying $(- \otimes \mathbf{Q}_l)$ we obtain isomorphisms

$$\phi_i : B_i \otimes \mathbf{Q}_l \xrightarrow{\cong} Z_i \otimes \mathbf{Q}_l$$

and we may choose $\mathbf{Q}_l[G]$ -module splittings of the form

$$\eta_i : B_i \otimes \mathbf{Q}_l \longrightarrow F_{i+1} \otimes \mathbf{Q}_l$$

such that $(d_{i+1} \otimes 1)\eta_i = 1 : B_i \otimes \mathbf{Q}_l \longrightarrow B_i \otimes \mathbf{Q}_l$.

Then we form a $\mathbf{Q}_l[G]$ -module isomorphism of the form

$$X : \bigoplus_j F_{2j} \otimes \mathbf{Q}_l \xrightarrow{\cong} \bigoplus_j F_{2j+1} \otimes \mathbf{Q}_l$$

given by the composition

$$\begin{aligned} \bigoplus_j F_{2j} \otimes \mathbf{Q}_l &\xrightarrow{(\psi_{2j} + \eta_{2j-1})^{-1}} \bigoplus_j (Z_{2j} \otimes \mathbf{Q}_l) \oplus (B_{2j-1} \otimes \mathbf{Q}_l) \\ &\xrightarrow{(\phi_{2j}^{-1} \oplus \phi_{2j-1})} \bigoplus_j (B_{2j} \otimes \mathbf{Q}_l) \oplus (Z_{2j-1} \otimes \mathbf{Q}_l) \\ &\xrightarrow{(\bigoplus_j \eta_{2j} + \psi_{2j-1})} \bigoplus_j F_{2j-1} \otimes \mathbf{Q}_l. \end{aligned}$$

If $w_i \in F_i \otimes \mathbf{Q}_l$ then X is given explicitly by the formula

$$\begin{aligned} &X(w_0, w_2, \dots) \\ &= (\eta_0(w_0) + d_2(w_2), \eta_2(w_2 - \eta_1(d_2(w_2))) + d_4(w_4), \dots, \\ &\dots, \eta_{2t}(w_{2t} - \eta_{2t-1}(d_{2t}(w_{2t}))) + d_{2t+2}(w_{2t+2}), \dots). \end{aligned}$$

This construction defines a class, $[\oplus_j F_{2j}, X, \oplus_j F_{2j+1}]$, in $K_0(\mathbf{Z}_l[G], \mathbf{Q}_l)$ which is well-known to be independent of the choices of the splittings used to define X ([39] Ch. 15; see also [37] Propositions 2.5.35 and 7.1.8).

We shall denote by

$$\det(X) \in \frac{\mathbf{Q}_l[G]^*}{\mathbf{Z}_l[G]^*}$$

the element which corresponds to $[\oplus_j F_{2j}, X, \oplus_j F_{2j+1}] \in K_0(\mathbf{Z}_l[G], \mathbf{Q}_l)$ under the isomorphism of §2.1.

2.3 Recall that, if R is a ring and M a (left) R -module, the (left) annihilator ideal $\text{ann}_R(M) \triangleleft R$ of M is defined to be

$$\text{ann}_R(M) = \{r \in R \mid r \cdot m = 0 \text{ for all } m \in M\}.$$

Let us recall from ([26] Appendix; see also [40]) the properties of the Fitting ideal (referred to as the initial Fitting invariant in [28]).

Let R be a commutative ring with identity and let M be a finitely presented R -module, in our applications M will actually be finite. Suppose that M has a presentation of the form

$$R^a \xrightarrow{f} R^b \longrightarrow M \longrightarrow 0$$

with $a \geq b$ then the Fitting ideal of the R -module M , denoted by $F_R(M)$, is the ideal of R generated by all $b \times b$ minors of any matrix representing f . The Fitting ideal $F_R(M)$ is independent of the presentation chosen for M .

The following result yields relations between the annihilator ideals and Fitting ideals of the homology modules in Example 2.2 in the special case when each $H_i(F_*)$ is finite and zero except for $i = 0, 1$.

Theorem 2.4 ([38] Theorem 2.4; see also [37] Chapter VII)

Let G be a finite abelian group and l a prime. Suppose that

$$0 \longrightarrow F_k \xrightarrow{d_k} F_{k-1} \xrightarrow{d_{k-1}} \dots \xrightarrow{d_2} F_1 \xrightarrow{d_1} F_0 \longrightarrow 0$$

is a bounded, perfect complex of $\mathbf{Z}_l[G]$ -modules, as in Example 2.2, having $H_i(F_*)$ finite for $i = 0, 1$ and zero otherwise. Let

$$[\oplus_j F_{2j}, X, \oplus_j F_{2j+1}] \in K_0(\mathbf{Z}_l[G], \mathbf{Q}_l) \cong \frac{\mathbf{Q}_l[G]^*}{\mathbf{Z}_l[G]^*}$$

be as in Example 2.2. Then:

(i) if $t_i \in \text{ann}_{\mathbf{Z}_l[G]}(H_i(F_*))$,

$$\det(X)^{(-1)^i} t_i^{m_i} \in \text{ann}_{\mathbf{Z}_l[G]}(H_{1-i}(F_*)) \triangleleft \mathbf{Z}_l[G]$$

for $i = 0, 1$. Here m_0, m_1 is the minimal number of generators required for the $\mathbf{Z}_l[G]$ -module $H_0(F_*)$, $\text{Hom}(H_1(F_*), \mathbf{Q}_l/\mathbf{Z}_l)$, respectively,

(ii) if the Sylow l -subgroup of G is cyclic then in (i) $\text{ann}_{\mathbf{Z}_l[G]}(H_{1-i}(F_*))$ may be replaced by $F_{\mathbf{Z}_l[G]}(H_{1-i}(F_*))$.

2.5 Shortening perfect complexes

Now consider once more the situation of Example 2.2. Let G be a finite abelian group and l a prime. Suppose that

$$0 \longrightarrow F_k \xrightarrow{d_k} F_{k-1} \xrightarrow{d_{k-1}} F_{k-2} \xrightarrow{d_{k-2}} \dots \xrightarrow{d_2} F_1 \xrightarrow{d_1} F_0 \longrightarrow 0$$

is a bounded perfect complex of $\mathbf{Z}_l[G]$ -modules with $H_i(F_*)$ finite for each i . Let $t_0 \in \mathbf{Q}_l[G]^* \cap \text{ann}_{\mathbf{Z}_l[G]}(H_0(F_*))$. As before, choose $\mathbf{Q}_l[G]$ -modules splittings

$$\eta_i : B_i \otimes \mathbf{Q}_l \longrightarrow F_{i+1} \otimes \mathbf{Q}_l$$

so that $(d_{i+1} \otimes 1)\eta_i = 1$. Thus we obtain a $\mathbf{Q}_l[G]$ -module isomorphism of the form

$$X : \bigoplus_i F_{2i} \otimes \mathbf{Q}_l \xrightarrow{\cong} \bigoplus_i F_{2i+1} \otimes \mathbf{Q}_l$$

given, if $w_i \in F_i \otimes \mathbf{Q}_l$, by

$$\begin{aligned} X(w_0, w_2, \dots) \\ &= (\eta_0(w_0) + d_2(w_2), \eta_2(w_2 - \eta_1(d_2(w_2))) + d_4(w_4), \dots, \\ &\quad \dots, \eta_{2t}(w_{2t} - \eta_{2t-1}(d_{2t}(w_{2t}))) + d_{2t+2}(w_{2t+2}), \dots). \end{aligned}$$

Assuming that F_0 is free if necessary, choose η_0 so that

$$\eta_0(t_0 \cdot -) = t_0 \eta_0 : F_0 \subset F_0 \otimes \mathbf{Q}_l = B_0 \otimes \mathbf{Q}_l \longrightarrow F_1 \otimes \mathbf{Q}_l$$

lands in F_1 . Now form the chain complex

$$P_* : 0 \longrightarrow F_k \xrightarrow{d_k} F_{k-1} \xrightarrow{d_{k-1}} F_{k-2} \xrightarrow{d_{k-2}} \dots \xrightarrow{(d_3, 0)} F_2 \oplus F_0 \xrightarrow{d_2 + t_0 \eta_0} F_1 \longrightarrow 0.$$

Write $P_{i-1} = F_i$ for $i = 1, 3, 4, \dots, k$ and $P_1 = F_2 \oplus F_0$ with differentials $\tilde{d}_{i-1} = d_i$ for $i = 4, 5, \dots$, $\tilde{d}_2 = (d_3, 0)$ and $\tilde{d}_1 = d_2 + t_0 \eta_0$. For $i = 3, 4, 5, \dots$ $\text{Ker}(\tilde{d}_{i-1}) = Z_i$. Also $\tilde{d}_2(w_2, w_0) = 0$ implies that $0 = d_2(w_2) + t_0 \eta_0(w_0)$ so that $0 = d_1(d_2(w_2) + t_0 \eta_0(w_0)) = t_0 w_0$, which implies that $w_0 = 0$ since $t_0 \in \mathbf{Q}_l[G]^*$. Hence $\text{Ker}(\tilde{d}_1) = Z_2 \subseteq F_2 \subseteq F_2 \oplus F_0$. The boundaries of P_* satisfy $\tilde{d}_j(P_j) = d_{j+1}(F_{j+1}) = B_j$ for $j = 3, 4, \dots$ and $\tilde{d}_2(P_2) = d_3(F_3) = B_2 \subseteq F_2 \oplus F_0$ while $\tilde{d}_1(P_1) = B_1 + t_0 \eta_0(F_0)$.

Therefore we may choose $\mathbf{Q}_l[G]$ -modules splittings of the form

$$\tilde{\eta}_{j-1} : \tilde{d}_j(P_j) \otimes \mathbf{Q}_l = B_j \otimes \mathbf{Q}_l \xrightarrow{\eta_j} F_{j+1} \otimes \mathbf{Q}_l = P_j \otimes \mathbf{Q}_l$$

for $j = 2, 3, \dots, k-1$. Finally define

$$\tilde{\eta}_0 : P_0 \otimes \mathbf{Q}_l = F_1 \otimes \mathbf{Q}_l \longrightarrow F_2 \otimes \mathbf{Q}_l \oplus F_2 \otimes \mathbf{Q}_l$$

on $z \in P_0 \otimes \mathbf{Q}_l$ by

$$\tilde{\eta}_0(z) = (\eta_1(z - \eta_0(d_1(z))), t_0^{-1} d_1(z))$$

which satisfies

$$\begin{aligned}
\tilde{d}_1(\tilde{\eta}_0(z)) &= d_2(\eta_1(z - \eta_0(d_1(z)))) + t_0\eta_0(t_0^{-1}d_1(z)) \\
&= z - \eta_0(d_1(z)) + \eta_0(d_1(z)) \\
&= z,
\end{aligned}$$

as required for a $\mathbf{Q}_l[G]$ -module splitting.

Denote by Y the $\mathbf{Q}_l[G]$ -module isomorphism

$$Y : \bigoplus_i P_{2i} \otimes \mathbf{Q}_l \xrightarrow{\cong} \bigoplus_i P_{2i+1} \otimes \mathbf{Q}_l$$

defined using the $\tilde{\eta}_i$'s and \tilde{d}_i 's. Now $P_{2i} = F_{2i+1}$ so for $w_{2i} = z_{2i+1} \in F_{2i+1}$ we have, in $\bigoplus_i F_{2i} \otimes \mathbf{Q}_l$,

$$\begin{aligned}
Y(z_1, z_3, \dots) \\
&= Y(w_0, w_2, \dots) \\
&= (\tilde{\eta}_0(w_0) + \tilde{d}_2(w_2), \tilde{\eta}_2(w_2 - \tilde{\eta}_1(\tilde{d}_2(w_2))) + \tilde{d}_4(w_4), \dots, \\
&\quad \dots, \tilde{\eta}_{2t}(w_{2t} - \tilde{\eta}_{2t-1}(\tilde{d}_{2t}(w_{2t}))) + \tilde{d}_{2t+2}(w_{2t+2}), \dots) \\
&= (t_0^{-1}d_1(z_1), \eta_1(z_1 - \eta_0(d_1(z_1))) + d_3(z_3), \dots, \\
&\quad \dots, \eta_{2t+1}(z_{2t+1} - \eta_{2t}(d_{2t+1}(z_{2t+1}))) + d_{2t+3}(z_{2t+3}), \dots).
\end{aligned}$$

Therefore, if $y_{2i} \in F_{2i} \otimes \mathbf{Q}_l$,

$$\begin{aligned}
Y(X(y_0, y_2, \dots)) \\
&= Y(\eta_0(y_0) + d_2(y_2), \eta_2(y_2 - \eta_1(d_2(y_2))) + d_4(y_4), \dots, \\
&\quad \dots, \eta_{2t}(y_{2t} - \eta_{2t-1}(d_{2t}(y_{2t}))) + d_{2t+2}(y_{2t+2}), \dots) \\
&= (t_0^{-1}d_1(\eta_0(y_0)) + t_0^{-1}d_1(d_2(y_2)), \eta_1(\eta_0(y_0) + d_2(y_2) - \eta_0(d_1(\eta_0(y_0) + d_2(y_2)))) \\
&\quad + d_3(\eta_2(y_2 - \eta_1(d_2(y_2))))), \dots) \\
&= (t_0^{-1}y_0, \eta_1(\eta_0(y_0) + d_2(y_2) - \eta_0(y_0)) + y_2 - \eta_1(d_2(y_2)), \dots) \\
&= (t_0^{-1}y_0, \eta_1(d_2(y_2)) + y_2 - \eta_1(d_2(y_2)), \dots) \\
&= (t_0^{-1}y_0, y_2, y_4, \dots)
\end{aligned}$$

so that

$$\det(Y)\det(X) = t_0^{-\text{rank}(F_0)} = t_0^{-m_0}$$

where, by modifying F_0 if necessary (see [38] Theorem 2.4(proof)), we may assume that $m_0 = \text{rank}_{\mathbf{Z}_l[G]}(F_0)$ is equal to the minimal number of generators of $H_0(F_*)$ as a $\mathbf{Z}_l[G]$ -module.

2.6 The case of three homology groups

Now consider a perfect complex with only three non-zero, finite homology groups $H_j(F_*)$ for $j = 0, 1, 2$, in this case we may assume without changing the class in $K_0(\mathbf{Z}_l[G], \mathbf{Q}_l)$ that the complex has the form

$$F_* : 0 \longrightarrow F_3 \longrightarrow F_2 \longrightarrow F_1 \longrightarrow F_0 \longrightarrow 0$$

with F_i free. The construction of §2.5 makes a new perfect complex of the form

$$P_* : 0 \longrightarrow F_3 \xrightarrow{(d_3, 0)} F_2 \oplus F_0 \xrightarrow{d_2 + t_0\eta_0} F_1 \longrightarrow 0$$

with $\det(Y) = \det(X)^{-1}t_0^{-m_0}$ where m_0 is the minimal number of generators of $H_0(F_*)$. Now, from the discussion of §2.5,

$$H_1(P_*) = \frac{\text{Ker}(d_2 + t_0\eta_0)}{d_3(F_3)} = \frac{\text{Ker}(d_2)}{d_3(F_3)} = H_2(F_*).$$

However

$$H_0(P_*) = \frac{F_1}{d_2(F_2) + t_0\eta_0(F_0)} = \frac{F_1}{B_1 + t_0\eta_0(F_0)}.$$

We have $Z_1 \cap (B_1 + t_0\eta_0(F_0)) = B_1$ so that

$$H_1(F_*) = \frac{Z_1}{B_1} = \frac{Z_1}{Z_1 \cap (B_1 + t_0\eta_0(F_0))} = \frac{Z_1 + t_0\eta_0(F_0)}{B_1 + t_0\eta_0(F_0)}.$$

Therefore we have a short exact sequence of the form

$$0 \longrightarrow H_1(F_*) \longrightarrow \frac{F_1}{B_1 + t_0\eta_0(F_0)} \longrightarrow \frac{F_1}{Z_1 + t_0\eta_0(F_0)} \longrightarrow 0.$$

Also d_1 induces an isomorphism of the form

$$d_1 : \frac{F_1}{Z_1 + t_0\eta_0(F_0)} \xrightarrow{\cong} \frac{B_0}{t_0F_0}$$

so the the short exact sequence has the form

$$0 \longrightarrow H_1(F_*) \longrightarrow H_0(P_*) \longrightarrow \frac{B_0}{t_0F_0} \longrightarrow 0.$$

We may apply Theorem 2.4 to the shortened complex of §2.5 and §2.6 to obtain the following result.

Theorem 2.7

Let G be a finite abelian group and l a prime. Suppose that

$$0 \longrightarrow F_k \xrightarrow{d_k} F_{k-1} \xrightarrow{d_{k-1}} \dots \xrightarrow{d_2} F_1 \xrightarrow{d_1} F_0 \longrightarrow 0$$

is a bounded, perfect complex of $\mathbf{Z}_l[G]$ -modules, as in Example 2.2, having $H_i(F_*)$ finite for $i = 0, 1, 2$ and zero otherwise. Let

$$[\oplus_j F_{2j}, X, \oplus_j F_{2j+1}] \in K_0(\mathbf{Z}_l[G], \mathbf{Q}_l) \cong \frac{\mathbf{Q}_l[G]^*}{\mathbf{Z}_l[G]^*}$$

be as in Example 2.2. Then:

(i) if $t_i \in \text{ann}_{\mathbf{Z}_l[G]}(H_i(F_*))$ for $i = 0, 2$

$$\det(X)t_0^{m_0}t_2^{m_2} \in \text{ann}_{\mathbf{Z}_l[G]}(H_1(F_*)) \triangleleft \mathbf{Z}_l[G].$$

Here m_0, m_2 is the minimal number of generators required for the $\mathbf{Z}_l[G]$ -module $H_0(F_*)$, $\text{Hom}(H_2(F_*), \mathbf{Q}_l/\mathbf{Z}_l)$, respectively,

(ii) if the Sylow l -subgroup of G is cyclic then in (i) $\text{ann}_{\mathbf{Z}_l[G]}(H_1(F_*))$ may be replaced by $F_{\mathbf{Z}_l[G]}(H_1(F_*))$.

Proof

Firstly, we may assume that $t_0 \in \mathbf{Q}_l[G]^* \cap \text{ann}_{\mathbf{Z}_l[G]}(H_0(F_*))$ (see [38] Theorem 2.4(proof)) by replacing t_0 by $t_0 + N|H_0(F_*)||H_1(F_*)|$ for some suitably large integer N .

Let $t_2 \in \text{ann}_{\mathbf{Z}_l[G]}(H_2(F_*)) = \text{ann}_{\mathbf{Z}_l[G]}(H_1(P_*))$, in the notation of §2.6. Then we may apply Theorem 2.4 to conclude that

$$\det(Y)^{-1}t_2^{m_2} \in \text{ann}_{\mathbf{Z}_l[G]}(H_0(P_*)) \triangleleft \mathbf{Z}_l[G]$$

and, by §2.5, $\det(Y)^{-1}t_2^{m_2} = \det(X)t_0^{m_0}t_2^{m_2}$. From §2.6 $H_1(F_*) \subseteq H_0(P_*)$ and both are finite groups, since $t_0 \in \mathbf{Q}_l[G]^*$. This inclusion always implies that

$$\text{ann}_{\mathbf{Z}_l[G]}(H_0(P_*)) \subseteq \text{ann}_{\mathbf{Z}_l[G]}(H_1(F_*))$$

and, providing the l -Sylow subgroup of G is cyclic it also implies

$$F_{\mathbf{Z}_l[G]}(H_0(P_*)) \subseteq F_{\mathbf{Z}_l[G]}(H_1(F_*)),$$

as explained in ([38] Proposition 2.8). \square

Remark 2.8 In the proof of Theorem 2.7 we have only used one of the annihilator relations on Theorem 2.4. However, we may modify the proof of ([38] Theorem 2.4) to obtain another relation. Applying the proof to P_* when $i = 0$ we replace F_1 by a free module which is the direct sum of the first modules in a minimal resolutions for $H_1(F_*)$ and $B_0/(t_0F_0)$ and then replace multiplication

by t_0 by the sum of multiplication by t_1 and t_0 on these summands. Then, under the assumption that $t_0 \in \mathbf{Q}_l[G]^* \cap \text{ann}_{\mathbf{Z}_l[G]}(H_0(F_*))$, one obtains

$$\det(X)^{-1} t_1^{m_1} t_0^{m(B_0/(t_0 F_0)) - m_0} \in \text{ann}_{\mathbf{Z}_l[G]}(H_2(F_*))$$

where $m(B_0/(t_0 F_0))$ is the minimal number of generators for the finite module $B_0/(t_0 F_0)$. When the l -Sylow subgroup of G is cyclic we may once again replace the annihilator ideal by the Fitting ideal.

In the absence of a good estimate for $m(B_0/(t_0 F_0))$ this relation does not appear to be very useful.

3 The invariant

3.1 In [16] the following situation is considered: \mathcal{O}_k is an excellent, henselian discrete valuation ring with fraction field, k , and residue field, F . Then $\mathcal{O}_k[T]$ is a local ring with maximal ideal $\mathcal{M} = \langle T, \mathcal{P} = \ker(\mathcal{O}_k \rightarrow F) \rangle$. Let $A = \mathcal{O}_k\{T\}$ denote the henselianisation of $\mathcal{O}_k[T]$ at \mathcal{M} ([27] p.36). We also write $\mathcal{P} \triangleleft A$ for the kernel of $\mathcal{O}_k\{T\} \rightarrow F\{T\}$ so that $\mathcal{P} \cap \mathcal{O}_k = \mathcal{P}$. If $X = \text{Spec}(A) - \{\mathcal{M}, \mathcal{P}\}$ and P_1, P_2, \dots, P_t is a non-empty finite set of primes of A different from \mathcal{M} and \mathcal{P} then we have an open immersion

$$U = \text{Spec}A - \{\mathcal{M}, \mathcal{P}, P_1, P_2, \dots, P_t\} \xrightarrow{u} X \xleftarrow{i} Y = \{P_1, P_2, \dots, P_t\}$$

where u is an open immersion and i is a closed immersion.

Let Λ be a field of positive characteristic different from that of F and let \mathcal{F} be a locally constant sheaf of Λ -modules of finite rank on $U_{\text{ét}}$. Fix \bar{k} , an algebraic closure of k . Then [16] gives a formula for the Λ -dimension of the space of vanishing cycles

$$H^q(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_!(\mathcal{F}))$$

in terms of the Kato-Swan conductor. In general this group is zero except when $q = 0, 1$ ([13] p.14) and is also zero when $q = 0$ in the above situation because $U \neq X$ ([16] p.631).

Now suppose that k is a complete discrete valuation field of finite cohomological dimension. For example, k might be an n -dimensional local field (see [10], [11]).

Consider $\text{Spec}(A \otimes_{\mathcal{O}_k} k)$ and observe that $A \otimes_{\mathcal{O}_k} k = A(\mathcal{O}_k - \{0\})^{-1}$ so that the primes in this localisation come from localisation of primes in A . Hence the primes of $A \otimes_{\mathcal{O}_k} k$ correspond to the primes, $P \triangleleft A$, which satisfy $P \cap \mathcal{O}_k = \{0\}$. The primes \mathcal{M} and \mathcal{P} both localise to give the whole of $A \otimes_{\mathcal{O}_k} k$ since they contain \mathcal{P} . Any other prime ideal of $P \triangleleft A$ must have $P \cap \mathcal{O}_k$ equal to \mathcal{P} , \mathcal{O}_k or $\{0\}$. The middle case means $P = A$, which is not allowed, the first case means that $P = \mathcal{P}$ or \mathcal{M} , since A is two-dimensional. Hence the topological space of $\text{Spec}(A \otimes_{\mathcal{O}_k} k)$ is equal to $X = \text{Spec}(A) - \{\mathcal{M}, \mathcal{P}\}$ and it is clear from the definitions that, for all open $W \subseteq X$, $\mathcal{O}_X(W) = \mathcal{O}(W)$ where \mathcal{O} is the sheaf of $\text{Spec}(A)$.

Therefore we have

$$U = \text{Spec}A - \{\mathcal{M}, \mathcal{P}, P_1, P_2, \dots, P_t\} \xrightarrow{u} X \xrightarrow{i} Y = \{P_1, P_2, \dots, P_t\}$$

where u is an open immersion and i is a closed immersion. Here P_i is a prime ideal of A which is not maximal and such that $\mathcal{O}_k \cap P_i = \{0\}$. The structure sheaf on U , for all open $W \subseteq U$, $\mathcal{O}_U(W) = \mathcal{O}(W)$ where \mathcal{O} is the sheaf of $\text{Spec}(A)$. Then i corresponds to the closed immersions induced by the local ring homomorphisms $A(\mathcal{O}_k - \{0\})^{-1} \longrightarrow A/P_i$ and $Y = \text{Spec}(\prod_{i=1}^t A/P_i)$. Since i corresponds to the sum of local ring homomorphisms of the form $A(\mathcal{O}_k - \{0\})^{-1} \longrightarrow A/P_i$ we see that we must have multiplication by any $\lambda \in \mathcal{O}_k - \{0\}$ inducing an isomorphism on A/P_i or, equivalently, $A = A\lambda + P_i \triangleleft A$ for each $1 \leq i \leq t$.

We have a diagram of homomorphisms of k -algebras

$$\begin{array}{ccc} k & \longrightarrow & \bar{k} \\ \downarrow & & \downarrow \\ A \otimes_{\mathcal{O}_k} k & \xrightarrow{f} & A \otimes_{\mathcal{O}_k} \bar{k} \end{array}$$

We are studying the vanishing cycle cohomology groups

$$H^q(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_!(\mathcal{F})).$$

There is an action on this cohomology of the absolute Galois group, $G(\bar{k}/k)$ (cf. [20]).

For each finite Galois extension, $k \subseteq L \subset \bar{k}$, write $A_L = A \otimes_{\mathcal{O}_k} L$ and $B_L = A \otimes_{\mathcal{O}_k} \mathcal{O}_L$. Since \mathcal{O}_L is a finite \mathcal{O}_k -module there is a decomposition ([27] Theorem 4.2 p.32)

$$B_L \cong \prod_{\mathcal{M} \triangleleft B_L, \mathcal{M} \text{ maximal}} B_L(B_L - \mathcal{M})^{-1}.$$

Since A was a Hensel local ring so is each of the factors and furthermore

$$A_L = B_L \otimes_{\mathcal{O}_L} L \cong \prod_{\mathcal{M} \triangleleft B_L, \mathcal{M} \text{ maximal}} B_L(B_L - \mathcal{M})^{-1} \otimes_{\mathcal{O}_L} L$$

in which each of the factors is a Dedekind domain. Therefore

$$A \otimes_{\mathcal{O}_k} \bar{k} = \varinjlim_{k \subseteq L \subset \bar{k}} B_L \otimes_{\mathcal{O}_L} L$$

so that

$$H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F})) \cong \varinjlim_{k \subseteq L \subseteq \bar{k}} H^1(\text{Spec}(B_L \otimes_{\mathcal{O}_L} L); u_1(\mathcal{F}))$$

which is a continuous $\Lambda[G(\bar{k}/k)]$ -module in the sense of [33].

Choose a bounded resolution of $H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F}))$

$$0 \rightarrow H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F})) \rightarrow I^0 \rightarrow I^1 \rightarrow I^2 \rightarrow \dots \rightarrow I^m \rightarrow 0$$

by cohomologically trivial $\Lambda[G(\bar{k}/k)]$ -modules, which may be taken to be finitely generated if necessary.

Form the cochain complex of $G(\bar{k}/L)$ -invariants

$$(I^\bullet)^{G(\bar{k}/L)} : 0 \rightarrow (I^0)^{G(\bar{k}/L)} \rightarrow (I^1)^{G(\bar{k}/L)} \rightarrow \dots \rightarrow (I^m)^{G(\bar{k}/L)} \rightarrow 0,$$

which is a complex of cohomologically trivial $\Lambda[G(L/k)]$ -modules (which may be taken to be finitely generated if necessary). From the spectral sequence

$$E_2^{s,t} = H^s(L; H^t(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F}))) \implies H^{s+t}(X \times_{\text{Spec}(k)} \text{Spec}(L); u_1(\mathcal{F}))$$

and the fact that $H^t(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F})) = 0$ for $t \neq 1$ we find that the s -th cohomology group of this complex is equal to

$$H^{s+1}(X \times_{\text{Spec}(k)} \text{Spec}(L); u_1(\mathcal{F})).$$

This is a finite-dimensional Λ -vector space.

Also the complex $(I^\bullet)^{G(\bar{k}/L)} \otimes \mathbf{Q}$ is exact.

Suppose that $\Lambda = \mathbf{F}_{l^e}$, the field with l^e elements for some prime l . In this case $(I^\bullet)^{G(\bar{k}/L)}$ is homotopy equivalent to a complex of finitely generated, cohomologically trivial $\mathbf{Z}_l[G(L/k)]$ -modules

$$P^\bullet : 0 \rightarrow P^u \rightarrow P^{u+1} \rightarrow \dots \rightarrow P^v \rightarrow 0.$$

This complex defines an Euler characteristic in the relative K-group

$$\chi(X \times_{\text{Spec}(k)} \text{Spec}(L); u_1(\mathcal{F})) = [P^{od}, \Phi, P^{ev}] \in K_0(\mathbf{Z}_l[G(L/k)], \mathbf{Q}_l)$$

by the construction described in Example 2.2 (see also [37] §2.1.8).

The following result is standard (see [37]).

Theorem 3.2

The element

$$\chi(X \times_{\text{Spec}(k)} \text{Spec}(L); u_1(\mathcal{F})) = [P^{od}, \Phi, P^{ev}] \in K_0(\mathbf{Z}_l[G(L/k)], \mathbf{Q}_l)$$

is independent of all choices made in its construction. Furthermore its image under the canonical homomorphism

$$K_0(\mathbf{Z}_l[G(L/k)], \mathbf{Q}_l) \xrightarrow{\pi} K_0(\mathbf{Z}_l[G(L/k)]) \xrightarrow{c} G_0(\mathbf{Z}_l[G(L/k)])$$

is equal to ([37] §2.1.8)

$$\sum_s (-1)^s H^s(X \times_{\text{Spec}(k)} \text{Spec}(L); u_1(\mathcal{F})).$$

Here π is the homomorphism in the localisation sequence and c is the Cartan map.

4 The case when k is a local field

4.1 In this section we shall make a few prefatory remarks about the situation which is the intersection of Theorem 2.7 and Theorem 3.2. Consider the situation in which k is a (one-dimensional) local field of residue characteristic p and $\Lambda = \mathbf{F}_{l^e}$ where p and l are distinct primes. In this case, for each finite Galois extension L/k in §3.1 the group

$$H^{s+1}(X \times_{\text{Spec}(k)} \text{Spec}(L); u_l(\mathcal{F})) \cong H^s(L; H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_l(\mathcal{F})))$$

can only possibly be non-zero for $s = 0, 1, 2$. Furthermore, if N is the finite Galois extension of L such that $G(\bar{k}/N) = G_1(\bar{k}/L)$, the first wild ramification subgroup of $G(\bar{k}/L)$, we have an isomorphism

$$\begin{aligned} & H^s(L; H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_l(\mathcal{F}))) \\ & \cong H^s(G(N/L); H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_l(\mathcal{F}))^{G(\bar{k}/N)}) \end{aligned}$$

because $G(\bar{k}/N)$ is a pro- p -group while $H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_l(\mathcal{F}))$ is a finite group of order prime to p . Also, replacing L by N , we have

$$H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_l(\mathcal{F}))^{G(\bar{k}/N)} \cong H^1(X \times_{\text{Spec}(k)} \text{Spec}(N); u_l(\mathcal{F})).$$

Note that this group may be re-expressed as a vanishing cyclic group in the manner of ([16] foot of p.655).

Now let us make the further assumption that $G(L/k)$ is abelian. In this case we may apply Theorem 2.7 to the invariant of Theorem 3.2 to obtain the following result.

Theorem 4.2

Suppose that $G(L/k)$ is abelian in Theorem 3.2 and that

$$\chi(X \times_{\text{Spec}(k)} \text{Spec}(L); u_l(\mathcal{F})) = [P^{od}, \Phi, P^{ev}] \in K_0(\mathbf{Z}_l[G(L/k)], \mathbf{Q}_l) \cong \frac{\mathbf{Q}_l[G(L/k)]^*}{\mathbf{Z}_l[G(L/k)]^*}$$

is represented by $\det(\Phi) \in \mathbf{Q}_l[G(L/k)]^$. Then, for any*

$$t_j \in \text{ann}_{\mathbf{Z}_l[G(L/k)]}(H^j(X \times_{\text{Spec}(k)} \text{Spec}(L); u_l(\mathcal{F})))$$

($j = 1, 3$), we have

$$(i) \quad \det(\Phi) t_1^{m_1} t_3^{m_3} \in \text{ann}_{\mathbf{Z}_l[G(L/k)]}(H^2(X \times_{\text{Spec}(k)} \text{Spec}(L); u_l(\mathcal{F}))) \triangleleft \mathbf{Z}_l[G(L/k)].$$

Here m_1, m_3 is the minimal number of generators required for the $\mathbf{Z}_l[G(L/k)]$ -module $H^1(X \times_{\text{Spec}(k)} \text{Spec}(L); u_l(\mathcal{F}))$, $\text{Hom}(H^3(X \times_{\text{Spec}(k)} \text{Spec}(L); u_l(\mathcal{F})), \mathbf{Q}_l/\mathbf{Z}_l)$, respectively,

(ii) if the Sylow l -subgroup of $G(L/k)$ is cyclic then in (i)

$$\text{ann}_{\mathbf{Z}_l[G(L/k)]}(H^2(X \times_{\text{Spec}(k)} \text{Spec}(L); u_l(\mathcal{F})))$$

may be replaced by $F_{\mathbf{Z}_l[G(L/k)]}(H^2(X \times_{\text{Spec}(k)} \text{Spec}(L); u_l(\mathcal{F})))$.

4.3 The annihilator relation of Theorem 4.2 becomes interesting only when we can determine the identity of $\det(\Phi)$. I hope to return to this topic with some more convincing examples worked out in detail. However, for the moment, I shall content myself with recounting a few observations concerning the nature of $\det(\Phi)$.

Firstly we may ask what sort of data determines the invariant $\chi(X \times_{\text{Spec}(k)} \text{Spec}(L); u_l(\mathcal{F}))$? This question is too hard! On the other hand we may ask the same question about various images of the invariant in other class-groups. We may use the isomorphism

$$K_0(\mathbf{Z}[G(L/k)], \mathbf{Q}) \cong \bigoplus_s \text{prime } K_0(\mathbf{Z}_s[G(L/k)], \mathbf{Q}_s)$$

to consider $\chi(X \times_{\text{Spec}(k)} \text{Spec}(L); u_l(\mathcal{F}))$ as an element of $K_0(\mathbf{Z}[G(L/k)], \mathbf{Q})$ whose s -component is trivial when $s \neq l$. Now let \mathcal{M}_{max} denote the maximal \mathbf{Z} -order of $\mathbf{Q}[G(L/k)]$ and consider the image of the invariant under the canonical homomorphism

$$K_0(\mathbf{Z}[G(L/k)], \mathbf{Q}) \longrightarrow K_0(\mathbf{Z}[G(L/k)]) \longrightarrow K_0(\mathcal{M}_{max}).$$

One way in which to distinguish classes in $K_0(\mathcal{M}_{max})$ which are images from $K_0(\mathbf{Z}_l[G(L/k)], \mathbf{Q}_l)$ is the canonical factorisability method of [14] (see also [35] and [36]). Every class in $K_0(\mathbf{Z}_l[G(L/k)], \mathbf{Q}_l)$ may be represented by a class $[P', \lambda, P'']$ where P', P'' are two finitely generated, projective $\mathbf{Z}_l[G(L/k)]$ -modules and $\lambda : P' \rightarrow P''$ is an inclusion with finite cokernel. If $\text{Coker}(\lambda) = M$, say, then M is a finite l -group which is cohomologically trivial as a $\mathbf{Z}[G(L/k)]$ -module. The image of $[P', \lambda, P'']$ in $K_0(\mathcal{M}_{max})$ is distinguished by the following fixed-fixed data. Let $C \subseteq G(L/k)$ be any cyclic subgroup and write $C = C_l \times C'$ where C_l is the l -Sylow subgroup of C . Let $e \in \mathbf{Z}_l[C']$ denote an irreducible idempotent. Then M^{C_l} is a finite $\mathbf{Z}_l[C']$ -module and so is eM^{C_l} . By ([14]; [35] Theorem 7.3.12; [36] Theorem 5.2.2) the function on pairs (C, e) given by the formula

$$\rho_M : (C, e) \mapsto |eM^{C_l}|$$

has the property that $[P', \lambda, P'']$ and $[P'_0, \lambda_0, P''_0]$ have the same image in $K_0(\mathcal{M}_{max})$ if and only if $\rho_{\text{Coker}(\lambda)} = \rho_{\text{Coker}(\lambda_0)}$.

In our situation M will be a vector space over the finite field Λ so that ρ_M is equivalent to the function $(C, e) \mapsto \dim_{\Lambda}(eM^{C_l})$. This suggests that the formula of Deligne and Kato ([16], [22]) for

$$\dim_{\Lambda}(H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_l(\mathcal{F})))$$

gives an important clue to the nature of $\det(\Phi)$. The results of [16] and [22] were recently proved by a different method in [24].

4.4 The Deligne-Kato dimension formula

In the situation of §3.1 a triple (A, U, \mathcal{F}) will be called *stable* if it satisfies the following three conditions (see [16] (5.1.1) and (6.1.1)-(6.1.2)):

(i) There exists an étale connected Galois covering \tilde{U} of U such that the inverse image of \mathcal{F} on \tilde{U} is a constant sheaf.

(ii) The integral closure B of A in \tilde{U} is a two-dimensional hensel normal local ring over \mathcal{O}_k . If \mathcal{M}_B and \mathcal{M}_k are the maximal ideals of B and \mathcal{O}_k , respectively, then B is isomorphic over \mathcal{O}_k to the strict henselianisation of $\mathcal{O}_{X,x}$ for some scheme X of finite type over \mathcal{O}_k and for some closed point x lying over \mathcal{M}_k such that $X - \{x\}$ is smooth over \mathcal{O}_k .

(iii) The residue fields $\kappa(\mathcal{P})$ for all $\mathcal{P} \in \text{Spec}(B \otimes_{\mathcal{O}_k} k) - \tilde{U}$ are separable over k .

For any (A, U, \mathcal{F}) , *not necessarily* satisfying conditions (i)-(iii) the dimension formula ([16] Theorem 6.7) takes the form

$$\begin{aligned} & \dim_{\Lambda}(H^0(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F}))) - \dim_{\Lambda}(H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F}))) \\ &= \phi(s) - \phi(\eta) - 2\delta_k \dim_{\Lambda}(\mathcal{F}). \end{aligned}$$

In the case of interest to us $U \neq X$ and ([16] p.631) the formulae simplifies to the form

$$\dim_{\Lambda}(H^1(X \times_{\text{Spec}(k)} \text{Spec}(\bar{k}); u_1(\mathcal{F}))) = \phi(\eta) - \phi(s) + 2\delta_k \dim_{\Lambda}(\mathcal{F}).$$

The ingredients in this formula are defined in the following manner, where the subtlety of [16] lies in the definition of $\phi(s)$. Let $P(A)$ denote the set of height one primes \mathcal{P} of A such that $\mathcal{P} \not\subseteq U$. Define $P_s(A)$ to be the finite set

$$P_s(A) = \{\mathcal{P} \in P(A) \mid \mathcal{P} \cap \mathcal{O}_k = \mathcal{M}_k\}$$

and set $P_{\eta}(A) = P(A) - P_s(A)$, which may be identified with the set of maximal ideals of $A \otimes_{\mathcal{O}_k} k = A_k$. For $\mathcal{P} \in P_{\eta}(A)$ define

$$\dim_{\text{tot}}_{\mathcal{P},k}(\mathcal{F}) = [\kappa(\mathcal{P}) : k](sw_{\mathcal{P}}(\mathcal{F}) + \dim_{\Lambda}(\mathcal{F}))$$

where $sw_{\mathcal{P}}(\mathcal{F})$ is the Swan conductor of \mathcal{F} for the discrete valuation ring $A_{\mathcal{P}}$.

For a triple (A, U, \mathcal{F}) take any finite extension k'/k such that the associated extension (A', U', \mathcal{F}') is stable (i.e. satisfies (i)-(iii)), which is possible by a result of [9] ([16] Proposition 6.3). With these choices let

$$\phi(\eta) = \sum_{\mathcal{P} \in P_{\eta}(A'), \mathcal{P} \notin U'} \dim_{\text{tot}}_{\mathcal{P},k'}(\mathcal{F}').$$

Similarly $\phi(s)$ is defined by a formula

$$\phi(s) = \sum_{\mathcal{P} \in P_s(A'), \mathcal{P} \notin U'} \dim_{\text{tot}}_{\mathcal{P},k'}(\mathcal{F}').$$

Here the terms $\dim_{\text{tot}}_{\mathcal{P},k'}(\mathcal{F}')$ in the definition of $\phi(s)$ are definition in terms of the Swan conductor ([16] §3) of the Galois representation corresponding to \mathcal{F} of the two-dimensional discrete valuation ring $V^h(\mathcal{P})$ given by the henselianisation

of the subring of $A_{\mathcal{P}}$ consisting of the elements whose images in $\kappa(\mathcal{P})$ lie in the normalisation of A/\mathcal{P} .

The integer δ_k is also defined in terms of the valuation theory of the two-dimensional rings $V^h(\mathcal{P})$ ([16] §5).

Question 4.5 This discussion of §4.3 and §4.4 suggests, to me at least, the following question: In the situation of Theorem 4.2 is there a formula for

$$\det(\Phi) \in \frac{\mathbf{Q}_l[G(L/k)]^*}{\mathbf{Z}_l[G(L/k)]^*}$$

in terms of the functions $\phi(\eta)$, $\phi(s)$, $\delta_{k'}$ as k' varies through suitable extensions of k or L ?

Remark 4.6 Á propos of Question 4.5, the Swan conductor which underlies the functions $\phi(s)$ and $\phi(\eta)$ may be thought of as a function on Galois representations ([18], [16], [4], see also [35] Chapter 6). Therefore, in order to make sense of the formula for $\det(\Phi)$ in Question 4.5, it may be useful to recall that $\mathbf{Q}_l[G(L/k)]^*$ is also describeable in terms of functions on representations. Let $\Omega_{\mathbf{Q}_l}$ denote the absolute Galois group of \mathbf{Q}_l and let $R(G(L/K))$ denote the Grothendieck group of finite-dimensional representations of $G(L/K)$ defined over $\overline{\mathbf{Q}_l}$, the algebraic closure of \mathbf{Q}_l . Then there is an isomorphism of the form [38]

$$\lambda : \text{Hom}_{\Omega_{\mathbf{Q}_l}}(R(G(L/k)), \overline{\mathbf{Q}_l}^*) \xrightarrow{\cong} \mathbf{Q}_l[G]^*$$

given by

$$\lambda(h) = \sum_{\chi: G(L/k) \rightarrow \overline{\mathbf{Q}_l}^*} h(\chi)e_{\chi}$$

where

$$e_{\chi} = [L:k]^{-1} \sum_{g \in G(L/k)} \chi(g)g^{-1} \in \overline{\mathbf{Q}_l}[G(L/k)].$$

References

- [1] A. Abbes and T. Saito: Ramification of local fields with imperfect residue fields; preprint (arXiv:math.AG/0010103 v2 (17 Dec 2001)).
- [2] S. Bloch: On the Chow groups of certain rational surfaces; Ann. Sci. École Norm. Sup. 14 (1981) 41-59.
- [3] S. Bloch: Cycles on arithmetic schemes and Euler characteristics of curves; Proc. Symp. Pire Maths. #46 (1987) 421-450.
- [4] R. Boltje, G.M. Cram and V.P. Snaith: Conductors in the non-separable residue field case; NATO ASI series C #407 Proc. 1991 Lake Louise Algebraic K-theory and Topology Conf. Kluwer (1993) 1-34.

- [5] C.W. Curtis and I. Reiner: *Methods of Representation Theory* vols. I & II, Wiley (1981,1987).
- [6] P. Deligne: Letter to Luc Illusie.
- [7] B. De Smit: Ramification groups of local fields with imperfect residue fields; *J. Number Theory* 44 (1993) 229-236.
- [8] D. Eisenbud: *Commutative Algebra with a view toward algebraic geometry*; Grad. Texts in Math. #150 Springer-Verlag (1995).
- [9] H.P. Epp: Eliminating wild ramification; *Inventiones Math.* 19 (1973) 235-249.
- [10] I.B. Fesenko and S.V. Vostokov: *Local fields and their extensions - a constructive approach*; Trans. of Math. Monographs vol. 121, Amer. Math. Soc. (1991).
- [11] I. Fesenko and M. Kurihara (eds): *Invitation to higher local fields*; Conf. Münster (1999) (<http://www.maths.warwick.ac.uk/gt/>).
- [12] D. Grayson: Higher algebraic K-theory II (after D.G. Quillen); *Algebraic K-theory* (1976) 217-240, Lecture Notes in Math. #551, Springer Verlag.
- [13] A. Grothendieck: Séminaire de Géométrie Algébrique 7 Part I, Lecture Notes in Mathematics # 288, Springer Verlag (1972).
- [14] D. Holland: Additive Galois module structure and Chinburg's invariant; *J. reine angew. Math* 425 (1992) 193-218.
- [15] L. Illusie: Théorie de Brauer et caractéristiques d'Euler-Poincaré (d'après P. Deligne); *Astérisque* 82-83 (1981) 161-172.
- [16] K. Kato: Vanishing cycles, ramification of valuations and class field theory; *Duke Math. J.* (3) 55 (1987) 629-659.
- [17] K. Kato, S. Saito and T. Saito: Artin characters for algebraic surfaces; *Amer. J. Math.* 109 (1987) 49-76.
- [18] K. Kato: Swan conductors for characters of degree one in the imperfect residue field case; *Contemp. Math.* #83 (1989) 101-131.
- [19] K. Kato: Class field theory, \mathcal{D} -modules and ramification on higher-dimensional schemes, Part I: *Am. J. Math.* 116 (1994) 757-784.
- [20] B. Köck: Computing the equivariant Euler characteristic of Zariski and étale sheaves on curves; University of Southampton preprint #357 (April 2001).
- [21] M. Kurihara: On two types of complete discrete valuation fields; *Compositio Math.* 63 (1987) 237-257.

- [22] G. Laumon: Semi-continuité du conducteur de Swan (d'après P. Deligne); *Astérisque* 82-83 Soc. Math. France (1981) 173-220.
- [23] G. Laumon: Caractéristique d'Euler-Poincaré des faisceaux constructibles sur une surface; *Astérisque* 101-102 (1983) 193-207.
- [24] M. Matignon and T. Youssefi: Prolongement de morphismes de fibrés formelles ét cycle évanescents; Talence preprint (1992).
- [25] S. Matsuda: On the Swan conductor in positive characteristic; *Amer. J. Math.* 119 (1997) 705-739.
- [26] B. Mazur and A. Wiles: Class fields of abelian extensions of \mathbf{Q} ; *Inventiones Math.* 76 (1984) 179-330.
- [27] J. Milne: *Étale cohomology*; Princeton University Press (1980).
- [28] D.G. Northcott: *Finite free resolutions*; Cambridge University Press (1976).
- [29] D.G. Quillen: Higher Algebraic K-theory I; Algebraic K-theory I (1973) 85-147, *Lecture Notes in Math.* # 341, Springer-Verlag.
- [30] S. Saito: Arithmetic duality on two-dimensional Henselian rings; University of Berkeley preprint (circa 1987).
- [31] S. Saito: General fixed point formula for an algebraic surface and the theory of Swan representations for two-dimensional rings; *Amer. J. Math.* 109 (1987) 1009-1042.
- [32] T. Saito: Self-intersection 0-cycles and coherent sheaves on arithmetic schemes; *Duke Math. J.* 57 (2) 555-578 (1988).
- [33] J-P. Serre: *Cohomologie Galoisienne* ; *Lecture Notes in Mathematics* # 5 (1973) Springer-Verlag.
- [34] J-P. Serre: *Linear Representations of Finite Groups* ; *Grad. Texts in Math.* # 42 (1977) Springer-Verlag.
- [35] V.P. Snaith: *Explicit Brauer Induction (with applications to algebra and number theory)*; *Cambridge Studies in Advanced Math.* #40 (1994) Cambridge University Press.
- [36] V.P. Snaith: *Galois Module Structure*; *Fields Institute Monographs* # 2 A.M.Soc. (1994).
- [37] V.P.Snaith: *Algebraic K-groups as Galois Modules*; *Birkhäuser Progress in Mathematics* series #206 (2002).
- [38] V.P. Snaith: Relative K_0 , annihilators, Fitting ideals and the Stickelberger phenomena; University of Southampton preprint 368 (2002) (<http://www.math.uiuc.edu/K-theory/0553/>).

- [39] R.G. Swan: *Algebraic K-theory*; Lecture Notes in Math. #76 Springer Verlag (1968).
- [40] A. Wiles: The Iwasawa conjecture for totally real fields; *Annals of Math.* 131 (1990) 493-540.
- [41] I. Zhukov: On ramification theory in the imperfect residue field case; University of Nottingham preprint (1998)
- [42] I. Zhukov: Swan conductors and sufficient jet orders for 2-dimensional local rings; University of Southampton preprint (2001).
- [43] I. Zhukov: Ramification of surfaces: sufficient jet order for wild jumps; preprint (arXiv:math.AG/0201071v4 (3 Sep 2002))
- [44] I. Zhukov: Ramification of surfaces: Artin-Schreier extensions; *Comtemp. Math.* #300 (2002) 211-220 (arXiv:math.AG/0209183v1 (15 Sep 2002)).