

# Geometrization of the Strong Novikov Conjecture for residually finite groups \*

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## Abstract

In this paper, we prove that the Strong Novikov Conjecture for a residually finite group is essentially equivalent to the Coarse Geometric Novikov Conjecture for a certain metric space associated to the group. As an application, we obtain the Coarse Geometric Novikov Conjecture for a large class of sequences of expanders.

## 1. Introduction

Let  $\Gamma$  be a finitely generated residually finite group, let  $\{\Gamma_n\}_{n=1}^{\infty}$  be a sequence of finite index normal subgroups of  $\Gamma$  such that  $\Gamma_n \supseteq \Gamma_{n+1}$  and  $\bigcap_{n=1}^{\infty} \Gamma_n = \{e\}$ . The purpose of this paper is to prove that the Strong Novikov Conjecture for  $\Gamma$  and  $\{\Gamma_n\}_{n=1}^{\infty}$  is essentially equivalent to the Coarse Geometric Novikov Conjecture for the box metric space  $\bigsqcup_{n=1}^{\infty} \Gamma/\Gamma_n$  (Theorem 5.2). As an application, we obtain the Coarse Geometric Novikov Conjecture for a large class of sequences of expanders.

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The Coarse Geometric Novikov Conjecture holds for bounded geometry metric spaces which are coarsely embeddable into Hilbert space [27]. More generally, Kasparov and Yu proved the Coarse Geometric Novikov Conjecture for bounded geometry metric spaces which are coarsely embeddable into uniformly convex Banach spaces [16]. Recall that if  $\Gamma$  is an infinite group with property T, then the box metric space is a sequence of expanders and therefore does not admit a coarse embedding into Hilbert space [18, 23]. Lafforgue has constructed residually finite property T groups whose associated sequences of expanders are not coarsely embeddable into any uniformly convex Banach space [17]. The Strong Novikov Conjecture holds for many infinite groups with property T [5, 6, 9, 10, 14, 15, 24, 26, 27]. As a consequence, our main result implies the Coarse Geometric Novikov Conjecture for a large class of sequences of expanders. In particular, we obtain the Coarse Geometric Novikov Conjecture for Lafforgue's sequences of expanders in [17], which are not coarsely embeddable into any uniformly convex Banach space.

## 2. Rips complex and its K-homology

In this section, we review the concept of Rips complex and prove a useful result about equivariant  $K$ -homology of Rips complexes.

**2.1.** Let  $\Gamma$  be a finitely generated discrete group with a finite generating set  $S$ . We assume that  $S = S^{-1}$ , that is,  $g \in S$  if and only if  $g^{-1} \in S$ . Define the word length metric  $d$  on  $\Gamma$  by

$$d(x, y) = \min\{k \mid x^{-1}y = g_1g_2 \cdots g_k, g_i \in S, i = 1, 2, \dots, k\}.$$

In this paper, we use  $|\Gamma|$  to denote the underlining metric space of a finitely generated group  $\Gamma$  endowed with the word length metric. The left multiplication of  $\Gamma$  gives an isometric  $\Gamma$ -action on  $(|\Gamma|, d)$ .

**2.2.** In this paper, all the discrete metric spaces  $X$  are assumed to have bounded geometry, i.e., for any  $r > 0$ , there exists  $N > 0$ , such that

$\#B_r(x) \leq N$ , where  $B_r(x) = \{y \in X : d(y, x) \leq r\}$ . Note that if  $X = |\Gamma|$ , the underlying metric space of a finitely generated discrete group  $\Gamma$ , then  $X$  has bounded geometry.

**2.3. Definition (Rips Complex).** For any  $d > 0$ , the Rips complex  $P_d(X)$  is the finite dimensional simplicial polyhedron defined as follows:

- (1) the vertex set of  $P_d(X)$  is  $X$ .
- (2) any  $q + 1$  vertices  $x_0, x_1, \dots, x_q$  span a simplex of  $P_d(X)$  if and only if

$$d(x_i, x_j) \leq d, \quad \forall i, j \in \{0, 1, 2, \dots, q\}.$$

Since  $X$  has bounded geometry, for each fixed  $d$ ,  $P_d(X)$  is a locally finite simplicial complex, that is, each vertex belongs to finitely many simplices.

**2.4.** Endow  $P_d(X)$  with the spherical metric. Recall that on each path connected component of  $P_d(X)$ , the spherical metric is the maximal metric whose restriction to each simplex  $\{\sum_{i=0}^q t_i x_i | t_i \geq 0, \sum_{i=0}^q t_i = 1\}$  is the metric obtained by identifying the simplex with  $S_+^q$  via the map

$$\sum_{i=0}^q t_i x_i \mapsto \left( \frac{t_0}{\sum_{i=0}^q t_i^2}, \frac{t_1}{\sum_{i=0}^q t_i^2}, \dots, \frac{t_q}{\sum_{i=0}^q t_i^2} \right)$$

where  $S_+^q := \{(s_0, s_1, \dots, s_q) \in \mathbb{R}^{q+1}, s_i \geq 0, \sum_{i=0}^q s_i = 1\}$  is endowed with the standard Riemannian metric. If  $y_0, y_1$  belong to two different connected components  $Y_0, Y_1$  of  $P_d(X)$ , we define

$$d(y_0, y_1) = \min\{d(y_0, x_0) + d_X(x_0, x_1) + d(x_1, y_1) | x_0 \in X \cap Y_0, x_1 \in X \cap Y_1\}.$$

The topology induced by the above metric is the same as the weak topology of the simplicial complex: a subset  $S \subset P_d(X)$  is closed if and only if the intersection of  $S$  with each simplex is closed.

If  $d < d'$ , then  $P_d(X)$  is a subcomplex of  $P_{d'}(X)$ . Denote the inclusion of  $P_d(X)$  into  $P_{d'}(X)$  by  $i_{d', d}$ . Let  $P_\infty(X) = \bigcup_{d=1}^\infty P_d(X)$ , with the topology of simplicial complex, that is, a set  $A \subset P_\infty(X)$  is closed if and only if  $A \cap P_d(X)$  is closed for each  $d > 0$ . Also, denote the embedding from  $P_d(X)$  to  $P_\infty(X)$

by  $i_{\infty,d}$ . Note that  $P_{\infty}(X)$  is not a locally finite simplicial complex unless  $X$  is a finite set.

**2.5.** If  $\Gamma$  is a finitely generated discrete group, then there is a natural action of  $\Gamma$  on  $P_{\infty}(\Gamma)$ :

$$g(t_0x_0 + t_1x_1 + \cdots + t_qx_q) = t_0gx_0 + t_1gx_1 + \cdots + t_qgx_q.$$

This  $\Gamma$ -action is proper, and  $P_{\infty}(\Gamma)$  is a model of the universal space  $E\Gamma$  of proper  $\Gamma$ -actions. We also have  $g(P_d(\Gamma)) \subset P_d(\Gamma)$  for any  $g \in \Gamma$  and  $d > 0$ . Note that the topology introduced in [3] is a little different from the above topology. However, up to weak  $\Gamma$ -homotopy, they are the same.

Note that for any compact subspace  $C \subset P_{\infty}(\Gamma)/\Gamma$ , there is a  $d > 0$  such that  $C \subset P_d(\Gamma)/\Gamma$ .

**2.6.** Let  $Z$  be a universal space for proper  $\Gamma$ -actions, with the quotient map  $\pi : Z \rightarrow Z/\Gamma$ . One can define

$$K_*^{\Gamma}(Z) = \lim_{C \subset Z/\Gamma, C \text{ compact}} K_*^{\Gamma}(\pi^{-1}(C)).$$

It is straight forward to check that

$$K_*^{\Gamma}(P_{\infty}(\Gamma)) = \lim_{d \rightarrow \infty} K_*^{\Gamma}(P_d(\Gamma)).$$

If  $\Gamma'$  is a normal subgroup of  $\Gamma$  with  $\Gamma/\Gamma'$  finite, then  $P_{\infty}(\Gamma)$  with  $\Gamma'$ -action can also be regarded as a classifying space of proper  $\Gamma'$  actions (see 1.9 of [3]). Furthermore,

$$K_*^{\Gamma'}(P_{\infty}(\Gamma)) = \lim_{d \rightarrow \infty} K_*^{\Gamma'}(P_d(\Gamma)).$$

The following proposition will be used in the proof of our main theorem.

**Proposition 2.7.** If the classifying space for proper  $\Gamma$ -actions has finite homotopy type, i.e., there is a model  $Z$  of locally finite CW complex with universal proper  $\Gamma$ -action such that  $Z/\Gamma$  is a compact CW complex, then for any  $r > 0$ , there is  $R > 0$  such that the following is true: for any

two elements  $x, y \in K_*^{\Gamma'}(P_r(\Gamma))$ , where  $\Gamma'$  is a subgroup of  $\Gamma$  with finite index, if  $(i_{\infty, r})_*(x) = (i_{\infty, r})_*(y)$  in  $K_*^{\Gamma'}(P_\infty(\Gamma))$ , then  $(i_{R, r})_*(x) = (i_{R, r})_*(y)$  in  $K_*^{\Gamma'}(P_R(\Gamma))$ .

**Proof.** By the universal property of  $Z$  and  $P_\infty(\Gamma)$ , there are  $\Gamma$ -equivariant map  $\phi : P_\infty(\Gamma) \rightarrow Z$  and  $\psi : Z \rightarrow P_\infty(\Gamma)$  such that  $\phi \circ \psi \sim_h id_Z$  and  $\psi \circ \phi \sim_h id_{P_\infty(\Gamma)}$ , where the homotopy is within  $\Gamma$ -equivariant maps.

Since  $Z/\Gamma$  is a compact CW complex, there is  $d_0$  such that  $\psi(Z) \subset P_{d_0}(\Gamma)$ . Let  $r' = \max\{r, d_0\}$  and  $\phi' = \phi|_{P_{r'}(\Gamma)}$ . Then  $\psi \circ \phi' : P_{r'}(\Gamma) \rightarrow P_\infty(\Gamma)$  is  $\Gamma$ -homotopy equivalent to the inclusion map  $i_{\infty, r'}$ . Let  $F : P_{r'}(\Gamma) \times [0, 1] \rightarrow P_\infty(\Gamma)$  be the  $\Gamma$ -homotopy path between  $\psi \circ \phi'$  and  $i_{\infty, r'}$ . Since  $P_{r'}(\Gamma) \times [0, 1]/\Gamma$  is compact, there is an  $R > 0$  such that  $F(P_{r'}(\Gamma) \times [0, 1]) \subset P_R(\Gamma)$ . Obviously,  $R \geq r' = \max\{r, d_0\}$ . Note that  $\Gamma$ -equivariance implies  $\Gamma'$ -equivariance for any subgroup  $\Gamma'$ . We will prove that  $R$  satisfies the requirement. If  $(i_{\infty, r})_*(x) = (i_{\infty, r})_*(y)$  in  $K_*^{\Gamma'}(P_\infty(\Gamma))$ , then  $\phi_* \circ (i_{\infty, r})_*(x) = \phi_* \circ (i_{\infty, r})_*(y)$  in  $K_*^{\Gamma'}(Z)$ , and  $\psi_* \circ \phi_* \circ (i_{\infty, r})_*(x) = \psi_* \circ \phi_* \circ (i_{\infty, r})_*(y)$  in  $K_*^{\Gamma'}(P_{d_0}(\Gamma))$ . Since  $R > d_0$ ,  $(i_{R, d_0})_* \circ \psi_* \circ \phi_* \circ (i_{\infty, r})_*(x) = (i_{R, d_0})_* \circ \psi_* \circ \phi_* \circ (i_{\infty, r})_*(y)$  in  $K_*^{\Gamma'}(P_R(\Gamma))$ . Note that,  $(i_{R, d_0})_* \circ \psi_* \circ \phi_* \circ (i_{\infty, r})_* = (i_{R, d_0} \circ \psi \circ \phi' \circ i_{r', r})_*$  and  $i_{R, d_0} \circ \psi \circ \phi' \circ i_{r', r}$  is  $\Gamma'$ -homotopic to  $i_{R, r}$  within  $P_R(\Gamma)$ . Hence,  $(i_{R, r})_*(x) = (i_{R, r})_*(y)$  in  $K_*^{\Gamma'}(P_R(\Gamma))$ , as desired.  $\square$

### 3. Maximal Roe algebras and quasi-representations

In this section, we introduce the concepts of maximal Roe algebras and quasi-representations. We also discuss the relationship between equivariant Roe algebras and group  $C^*$ -algebras.

**3.1.** Let  $X$  be a discrete metric space with bounded geometry. Let  $\mathcal{K}(H)$  be the algebra of all compact operator on a separable infinite dimensional Hilbert space. The algebra  $C_{alg}^*(X)$  is defined as follows [21]. An element  $a \in C_{alg}^*(X)$  is a function  $a : X \times X \rightarrow \mathcal{K}(H)$  with the following properties:

- (1) (finite propagation) there exists an  $r > 0$  such that  $a_{x, y} = 0$  if  $d(x, y) \geq r$

$r$  (the smallest such  $r$  is defined to be the propagation of  $a$ );

(2) there is a constant  $c$  such that  $\|a_{x,y}\| \leq c$  for all  $x, y \in X$ , where the norm is the operator norm in  $\mathcal{K}(H)$ .

One can define the multiplication by

$$(a \cdot b)_{x,y} = \sum_{z \in X} a_{x,z} \cdot b_{z,y}.$$

Since  $X$  has bounded geometry, the above sum is a finite sum for each pair  $(x, y)$  and it is easy to check that  $a \cdot b$  is in the algebra. Define  $(a^*)_{x,y} = (a_{y,x})^*$ . Then  $C_{alg}^*(X)$  is a  $*$ -algebra.

**3.2.** Let  $\phi : C_{alg}^*(X) \rightarrow \mathcal{B}(\ell^2(X, H))$  be the faithful  $*$ -representation:

$$(\phi(a)\xi)_x = \sum_{y \in X} a_{x,y} \xi_y, \quad \forall \xi \in \ell^2(X, H).$$

It is easy to check that, for each  $a \in C_{alg}^*(X)$ ,  $\phi(a)$  is a bounded operator. Define  $C_r^*(X)$  to be the closure of  $C_{alg}^*(X)$  under operator norm [20].  $C_r^*(X)$  is called the reduced Roe algebra.

**3.3.** We need some preparations to define the maximal Roe algebra.

All the diagonal elements  $a \in C_{alg}^*(X)$  (i.e.,  $a_{x,y} = 0$  if  $x \neq y$ ) together form the  $C^*$ -algebra  $C_b(X, \mathcal{K}(H))$  of all bounded, compact operator valued functions on  $X$ . For any  $*$ -representation  $\phi : C_b(X, \mathcal{K}(H)) \rightarrow \mathcal{B}(H')$ , where  $H'$  is a Hilbert space, we have  $\|\phi(a)\| \leq \sup_{x \in X} \|a_{x,x}\|$ . To define the maximum Roe algebra, we need the following lemma.

**Lemma 3.4.** For each element  $a \in C_{alg}^*(X)$ , there is a non-negative number  $c_a$  such that if  $\phi : C_{alg}^*(X) \rightarrow \mathcal{B}(H')$  is a  $*$ -representation, then  $\|\phi(a)\| \leq c_a$  for any  $a \in C_{alg}^*(X)$ .

**Proof.** Let  $r$  be a positive number larger than the propagation of  $a$ . That is,  $a_{x,y} = 0$  for all  $x, y$  with  $d(x, y) > r$ . Since  $X$  has bounded geometry, there is an  $N$  such that for any  $x \in X$ ,  $\#B_{2r}(x) \leq N$ . One can write  $X = X_1 \cup X_2 \cup \dots \cup X_{N+1}$  such that  $X_i \cap X_j = \emptyset$  for  $i \neq j$ , and that

$d(x, y) > 2r$  if  $x, y \in X_i$  for the same  $i$ . This can be done in the following way.

Consider  $X_1, X_2, \dots, X_{N+1}$  as  $N+1$  boxes and we will put each element of  $X$  into those boxes. At the beginning, the boxes are empty. First, list all the elements of  $X$  as  $x_1, x_2, \dots, x_k, \dots$ . Put  $x_1$  in  $X_1$ . Once each of  $x_1, x_2, \dots, x_k$  has been put into one of the boxes  $X_i$ , the element  $x_{k+1}$  should be put into box  $X_i$  for the smallest  $i$  such that

$$d(x_{k+1}, X_i \cap \{x_1, x_2, \dots, x_k\}) > 2r.$$

Here, we use the convention  $d(x, \emptyset) = \infty$ . Such  $i$  exists, since there are at most  $N$  elements in  $B_{2r}(x_{k+1})$ .

Let  $E = \{(x, y) : d(x, y) \leq r\}$ . Then  $\text{supp}(a) \subseteq E$ , where  $\text{supp}(a) := \{(x, y) \in X \times X : a_{x,y} \neq 0\}$ . Let  $E_i = E \cap (X_i \times X)$ , and let  $x \in X_i$ . Then there are at most  $N$  elements  $y_1, y_2, \dots, y_N$  such that  $(x, y_j) \in E_i$  for any  $j \in \{1, 2, \dots, N\}$ . So one can write  $E_i = \cup_{j=1}^N E_{ij}$  such that, if  $y_1 \neq y_2$ , then  $(x, y_1)$  and  $(x, y_2)$  of  $E_i$  will be in different set  $E_{ij}$ . That is, if  $(x, y_1), (x, y_2) \in E_{ij}$  then  $y_1 = y_2$ . Rename  $E_{ij}$  as  $G_i$ ,  $1 \leq i \leq (N+1)N$ , we write

$$E = \bigcup_{i=1}^{(N+1)N} G_i$$

with the following property: if two different elements  $(x, y)$  and  $(x', y')$  are in  $G_i$ , then  $d(x, x') > 2r$ , and consequently,  $y \neq y'$ .

For any  $a \in C_{alg}^*(X)$ , let  $a_i$  be defined by

$$(a_i)_{x,y} = \begin{cases} a_{x,y}, & \text{if } (x, y) \in G_i, \\ 0, & \text{otherwise.} \end{cases}$$

Then  $a = \sum a_i$ , and

$$\begin{aligned} (a_i^* a_i)_{x,y} &= \sum (a_i^*)_{x,z} \cdot (a_i)_{z,y} \\ &= \sum ((a_i)_{z,x})^* \cdot (a_i)_{z,y} \\ &= \begin{cases} \sum_{z: (z,x) \in G_i} a_{z,x}^* \cdot a_{z,x}, & \text{if } x = y, \\ 0, & \text{otherwise.} \end{cases} \end{aligned}$$

Furthermore, for each  $x$ , there is at most one  $z$  such that  $(z, x) \in G_i$ . Hence,  $a_i^* a_i$  is a diagonal element such that each entry has norm at most  $C^2$ , where  $C$  is a number satisfying  $\|a_{x,y}\| \leq C$  for all  $x, y \in X$ . From 3.3, we know that for each  $*$ -representation  $\phi : C_{alg}^*(X) \rightarrow \mathcal{B}(H')$ ,

$$\begin{aligned} \|\phi(a)\| &\leq \sum_{j=1}^{N(N+1)} \|\phi(a_j)\| \\ &\leq \sum_{j=1}^{N(N+1)} \|\phi(a_j^* a_j)\|^{1/2} \\ &\leq C \cdot N(N+1) \end{aligned}$$

as desired.  $\square$

**3.5.** For each  $a \in C_{alg}^*(X)$ , define

$$\|a\|_{\max} := \sup_{\phi} \{\|\phi(a)\| : \phi : C_{alg}^*(X) \rightarrow \mathcal{B}(H'), \text{ a } * \text{-representation}\}.$$

We define the maximal Roe algebra  $C_{\max}^*(X)$  to be the completion of  $C_{alg}^*(X)$  with respect to the maximum norm.

**3.6.** Next we introduce the concept of quasi-representations and study its properties. For any  $l \geq 0$ , let  $C_{alg,l}^*(X)$  denote the subset of  $C_{alg}^*(X)$  consisting of those elements whose propagation is at most  $l$ , that is,  $a \in C_{alg,l}^*(X)$  if and only if  $a_{x,y} = 0$  for all  $(x, y)$  with  $d(x, y) > l$ . Obviously,  $(C_{alg,l}^*(X))^* = C_{alg,l}^*(X)$  and  $(C_{alg,l_1}^*(X)) \cdot (C_{alg,l_2}^*(X)) \subseteq C_{alg,l_1+l_2}^*(X)$ . In particular,  $C_{alg,0}^*(X) = C_b(X, \mathcal{K}(H))$  is a subalgebra of  $C_{alg}^*(X)$ .

An  $l$ -quasi-representation of  $C_{alg,l}^*(X)$  is a linear map  $\phi : C_{alg,l}^*(X) \rightarrow \mathcal{B}(H')$  such that

- (1) if  $a \in C_{alg,l}^*(X)$ , then  $\phi(a^*) = \phi(a)^*$ ;
- (2) if  $a, b, a \cdot b \in C_{alg,l}^*(X)$ , then  $\phi(a \cdot b) = \phi(a) \cdot \phi(b)$ .

We list the following trivial facts of  $l$ -quasi-representations:

- (a) If  $l' > l$ , then any  $l'$ -quasi-representation is also an  $l$ -quasi-representation.
- (b) A 0-quasi-representation is a  $*$ -representation of the subalgebra  $C_b(X, \mathcal{K}(H))$ , the algebra of diagonal elements in  $C_{alg}^*(X)$ .
- (c) A  $*$ -representation of  $C_{alg}^*(X)$  is an  $l$ -quasi-representation for any  $l$ .

**Lemma 3.7.** For any  $a \in C_{alg,l}^*(X)$ , there is a number  $c_a$  such that



if  $\phi : C_{alg, m}^*(X) \rightarrow \mathcal{B}(H')$  is an  $m$ -quasi-representation with  $m \geq l$ , then  $\|\phi(a)\| \leq c_a$ .

**Proof.** Since  $X$  has bounded geometry,  $a$  can be decomposed as  $a = \sum_{i=1}^{N(N+1)} a_i$  as in the proof of Lemma 3.4. Note that  $\|\phi(a_i)\|^2 = \|\phi(a_i^*)\phi(a_i)\| = \|\phi(a_i^*a_i)\|$ , the Lemma follows from the fact that  $a_i^*a_i$  has propagation 0.  $\square$

**3.8.** For any element  $a \in C_{alg, l}^*(X)$  and  $m \geq l$ , define

$$\|a\|_m = \sup_{\phi} \{\|\phi(a)\| : \phi \text{ } m\text{-quasi-representation}\}.$$

By 3.7,  $\|a\|_m < \infty$  for all  $m > l$ . By 3.6,  $\|a\|_m \geq \|a\|_{m'}$  if  $m \leq m'$ . Define  $\|a\|_{\infty} = \lim_{m \rightarrow \infty} \|a\|_m$ . Then  $\|a\|_{\infty}$  is well defined and is finite for all element  $a \in C_{alg}^*(X)$ .

**Lemma 3.9.**  $\|a\|_{\infty} = \|a\|_{\max}$  for all  $a \in C_{alg}^*(X)$ .

**Proof.** By 3.6(c),  $\|a\|_{\max} \leq \|a\|_m$  for any  $m$ . Hence,  $\|a\|_{\max} \leq \|a\|_{\infty}$ . On the other hand, it is straight forward to check that  $\|\cdot\|_{\infty}$  satisfies the following conditions:

- (i)  $\|a + b\|_{\infty} \leq \|a\|_{\infty} + \|b\|_{\infty}$  and  $\|\lambda a\|_{\infty} = |\lambda| \cdot \|a\|_{\infty}$  for any  $\lambda \in \mathbb{C}$ .
- (ii)  $\|a \cdot b\|_{\infty} \leq \|a\|_{\infty} \cdot \|b\|_{\infty}$ .
- (iii)  $\|a\|_{\infty}^2 = \|a^*a\|_{\infty}$ .

Hence, the completion of  $C_{alg}^*(X)$  with respect to the norm  $\|\cdot\|_{\infty}$  is a  $C^*$ -algebra, denoted by  $A$ . Let  $\psi : A \rightarrow \mathcal{B}(H')$  be a faithful representation. Then  $\|a\|_{\infty} = \|\psi(a)\| \leq \|a\|_{\max}$  for all  $a \in C_{alg}^*(X)$ , as desired.  $\square$

**3.10.** In the rest of this section, we discuss the connection between equivariant Roe algebras and group  $C^*$ -algebras.

Let  $\Gamma$  be a finitely generated discrete group. There are two natural unitary representations  $L, R : \Gamma \rightarrow \mathcal{B}(\ell^2(\Gamma))$  by  $(L_{\gamma}\xi)(x) = \xi(\gamma^{-1}x)$  and  $(R_{\gamma}\xi)(x) = \xi(x\gamma)$ .

Recall that the group algebra  $C_{alg}^*(\Gamma)$  is the set of all functions  $a : \Gamma \rightarrow \mathbb{C}$  with finite support. The product and involution are defined by  $(a \cdot b)_{\gamma} = \sum_{\delta \in \Gamma} a_{\delta} b_{\delta^{-1}\gamma}$  and  $(a^*)_{\gamma} = \bar{a}_{\gamma^{-1}}$ . We will regard  $C_{alg}^*(\Gamma)$  as a subalgebra of

$\mathcal{B}(\ell^2(\Gamma))$  by the right  $*$ -representation defined by  $(a \cdot \xi)_\gamma = \sum_{\delta \in \Gamma} a_\delta \xi_{\gamma\delta}$  for any  $\xi \in \ell^2(\Gamma)$ .

The above representation also induces a representation of  $C_{alg}^*(\Gamma) \otimes \mathcal{K}(H)$  on  $\ell^2(\Gamma, H) = \ell^2(\Gamma) \otimes H$  by the same formula. But this time,  $a_\delta$  is a compact operator on  $H$  and  $\xi_{\gamma\delta}$  is an element in  $H$ .

**3.11.** We identify  $C_{alg}^*(|\Gamma|)$  with a  $*$ -subalgebra of  $\mathcal{B}(\ell^2(\Gamma))$  through its natural faithful representation in 3.2. The natural left unitary representation of  $\Gamma$  on  $\ell^2(\Gamma, H)$ , still denoted by  $L$ , induces a  $\Gamma$ -action on the algebra  $C_{alg}^*(|\Gamma|)$  by  $\gamma(T) = L_\gamma \circ T \circ L_{\gamma^{-1}}$  for all  $T \in C_{alg}^*(|\Gamma|)$ . The entries of  $\gamma(T)$  are given by

$$(\gamma(T))_{x,y} = T_{\gamma^{-1}x, \gamma^{-1}y}.$$

Let  $C_{alg}^*(|\Gamma|)^\Gamma$  be the fixed point algebra of  $\Gamma$ -action on  $C_{alg}^*(|\Gamma|)$ , that is,  $a \in C_{alg}^*(|\Gamma|)^\Gamma$  if and only if  $a_{x,y} = a_{\gamma^{-1}x, \gamma^{-1}y}$  for any  $\gamma \in \Gamma$ . If  $\Gamma'$  is a normal subgroup of  $\Gamma$  with  $\Gamma/\Gamma'$  finite, then any  $\Gamma$  action induces a  $\Gamma'$  action. Denote by  $C_{alg}^*(|\Gamma|)^{\Gamma'}$  the algebra of fixed points of the  $\Gamma'$  action on  $C_{alg}^*(|\Gamma|)$ .

**3.12.** Regard both  $C_{alg}^*(|\Gamma|)^\Gamma$  and  $C_{alg}^*(\Gamma) \otimes \mathcal{K}(H)$  as subalgebras of  $\mathcal{B}(\ell^2(\Gamma, H))$ . It is clear that  $C_{alg}^*(|\Gamma|)^\Gamma = C_{alg}^*(\Gamma) \otimes \mathcal{K}(H)$ . The correspondence  $a \in C_{alg}^*(\Gamma) \otimes \mathcal{K}(H) \mapsto \tilde{a} \in C_{alg}^*(|\Gamma|)^\Gamma$  is given by

$$\tilde{a}_{x,y} = a_{x^{-1}y}.$$

The propagation of  $\tilde{a}$  is

$$\max\{\text{length}(\gamma) : a_\gamma \neq 0\},$$

where the length is the word length of the group  $\Gamma$  with the given finite generating set.

**3.13.** Define the reduced equivariant Roe algebra  $C_{r,\Gamma}^*(|\Gamma|)$  to be the closure of  $C_{alg}^*(|\Gamma|)^\Gamma$  as a subalgebra of  $\mathcal{B}(\ell^2(\Gamma, H))$ . We have  $C_{r,\Gamma}^*(|\Gamma|) = C_r^*(\Gamma) \otimes \mathcal{K}(H)$ .

**3.14.** Recall that the maximum norm on  $C_{alg}^*(|\Gamma|)^\Gamma$  is defined to be

$$\|a\|_{max} = \sup_\phi \{\|\phi(a)\| : \phi \text{ } * \text{-representation of } C_{alg}^*(|\Gamma|)^\Gamma\}.$$

The completion of  $C_{alg}^*(|\Gamma|)^\Gamma$  under this maximum norm will be called the maximal equivariant Roe algebra and denoted by  $C_{\max, \Gamma}^*(|\Gamma|)$ . The  $C^*$ -algebra  $C_{\max, \Gamma}^*(|\Gamma|)$  is isomorphic to  $C_{\max}^*(\Gamma) \otimes \mathcal{K}(H)$ , where  $C_{\max}^*(\Gamma)$  is the maximal group  $C^*$ -algebra. Similarly, one can define  $C_{\max, \Gamma'}^*(|\Gamma|)$  for a normal subgroup  $\Gamma' \subset \Gamma$  with  $\Gamma/\Gamma'$  finite (see 3.11). It is easy to see that  $C_{\max, \Gamma'}^*(|\Gamma|) \cong C_{\max}^*(\Gamma') \otimes \mathcal{K}(H)$ .

We caution that the restriction of the maximum norm of  $C_{alg}^*(|\Gamma|)$  to its subalgebra  $C_{alg}^*(|\Gamma|)^\Gamma$  might not be the maximum norm of  $C_{alg}^*(|\Gamma|)^\Gamma$ .

**3.15.** Similar to 3.6, for any  $l \geq 0$ , let  $C_{alg, l}^*(|\Gamma|)^\Gamma$  be the subset of  $C_{alg}^*(|\Gamma|)^\Gamma$  consisting of elements with propagation at most  $l$ . Furthermore, the  $l$ -quasi-representations of  $C_{alg, l}^*(|\Gamma|)^\Gamma$  can be defined in a way similar to the corresponding case in 3.6. The following lemma is similar to Lemma 3.4 and Lemma 3.9, but the proof is much easier.

**Lemma 3.16.** For any  $a \in C_{alg}^*(|\Gamma|)^\Gamma = C_{alg}^*(\Gamma) \otimes \mathcal{K}(H)$  with propagation  $l$ , there is a constant  $C_a$  such that for any  $m$ -quasi-representation  $\phi : C_{alg, m}^*(|\Gamma|)^\Gamma \rightarrow \mathcal{B}(H')$  with  $m \geq l$ , it is true that  $\|\phi(a)\| \leq C_a$ .

**Proof.** Note that  $a \in C_{alg}^*(\Gamma) \otimes \mathcal{K}(H)$  has finite support, and if  $\gamma \in \text{supp}(a)$ , then  $\text{length}(\gamma) \leq l$ . We write  $a = \sum_{\gamma} a_{\gamma}$ , where  $a_{\gamma}$  is supported only on a single point  $\gamma \in \Gamma$ . Then  $a_{\gamma}^* a_{\gamma}$  is supported on the unit  $e \in \Gamma$ . So  $a_{\gamma}^* a_{\gamma}$  corresponds to an element in  $C_b(|\Gamma|, \mathcal{K}(H))$ . In fact, it corresponds to a constant function in  $C_b(|\Gamma|, \mathcal{K}(H))$ . Hence,

$$\phi(a_{\gamma}^* a_{\gamma}) \leq \|a_{\gamma}^* a_{\gamma}\|,$$

where  $\|\cdot\|$  is the operator norm in  $\mathcal{K}(H)$ . □

**3.17.** One can define a norm  $\|\cdot\|_m$  for any element  $a \in C_{alg, l}^*(|\Gamma|)^\Gamma$  and  $m \geq l$  by  $\|a\|_m = \sup_{\phi} \{\|\phi(a)\|\}$ , where the sup is taken over all  $m$ -quasi-representations  $\phi$  of  $C_{alg}^*(|\Gamma|)^\Gamma$ . Evidently,  $\|a\|_m \geq \|a\|_{m'}$  if  $m \leq m'$ . Define  $\|a\|_{\infty} = \lim_{m \rightarrow \infty} \|a\|_m$ . The proof of the following lemma is similar to the proof of Lemma 3.9 and will be omitted.

**Lemma 3.18.**  $\|a\|_{\max} = \|a\|_{\infty}$  for any  $a \in C_{alg}^*(|\Gamma|)^\Gamma$ .

Note that we use the same notations  $\|\cdot\|_m$  and  $\|\cdot\|_\infty$  for the norms on both  $C_{alg}^*(X)$  and  $C_{alg}^*(|\Gamma|)^\Gamma$ . It will be clear from the context which one we will be using.

## 4. The Coarse Geometric Novikov Conjecture and the Strong Novikov Conjecture

In this section, we formulate a version of the Coarse Geometric Novikov Conjecture and recall two versions of the Strong Novikov Conjecture.

**4.1.** Let  $X$  be a locally compact metric space. An  $X$ -module  $H_X$  is a separable Hilbert space equipped with a faithful and non-degenerate  $*$ -representation  $\pi$  of  $C_0(X)$  whose range contains no nonzero compact operators. When  $H_X$  is an  $X$ -module, for each  $f \in C_0(X)$  and  $h \in H_X$ , we denote  $(\pi(f))h$  by  $fh$ .

**Definition 4.2.** ([20]) (1) The support of a bounded linear operator  $T : H_X \rightarrow H_X$  is defined to be the complement of the set of all points  $(x, y) \in X \times X$  for which there exist  $g, g' \in C_0(X)$  such that  $g'Tg = 0$  but  $g(x) \neq 0, g'(y) \neq 0$ . (2) A bounded operator  $T : H_X \rightarrow H_X$  is said to have finite propagation if

$$\sup\{d(x, y) : (x, y) \in \text{supp}(T)\} < \infty.$$

And this number is called the propagation of  $T$ . (3) A bounded operator  $T : H_X \rightarrow H_X$  is said to be locally compact if the operators  $gT$  and  $Tg$  are compact for all  $g \in C_0(X)$ .

**4.3.** Denote the algebra of all locally compact, finite propagation operators by  $C_{alg}^*(X)$ . It is easy to check that the definition of  $C_{alg}^*(X)$  is independent of the choice of the  $X$ -module  $H_X$ . If  $X$  is a discrete metric space with bounded geometry, then the above definition of  $C_{alg}^*(X)$  is the same as the definition given in subsection 3.1. One can see this by choos-

ing  $X$ -module  $H_X = \ell^2(X) \otimes H$ , where  $H$  is a separable Hilbert space, and  $C_0(X)$  acts on  $\ell^2(X) \otimes H$  by multiplications on  $\ell^2(X)$ .

**4.4.** Let  $Y$  be a discrete subspace of  $X$  such that there are  $\varepsilon$  and  $r$  such that  $d(x, y) > \varepsilon$  for any  $x, y \in Y$ , and  $d(x, Y) \leq r$  for any  $x \in X$ . Then  $Y$  is coarsely equivalent to  $X$  and  $C_{alg}^*(Y)$  is isomorphic to  $C_{alg}^*(X)$ . Let us describe a precise isomorphism between these two algebras. Take a regular measure  $\mu$  on  $X$  such that for any compact set  $A \subset X$ ,  $\mu(A)$  is finite and for any non empty open set  $U \subset X$ ,  $\mu(U) > 0$ . Choose  $H_X = L^2(X, \mu) \otimes H$  to be the  $X$ -module in the definition of  $C_{alg}^*(X)$ . One can construct a partition  $X = \bigcup_{y \in Y} A_y$ , where each  $A_y$  is a Borel subset of  $X$  with nonzero measure such that for any  $z \in A_y$ ,  $d(y, z) \leq r$  and  $A_y \cap A_{y'} = \emptyset$  if  $y \neq y'$ . We have  $H_X = \bigoplus_{y \in Y} L^2(A_y, \mu) \otimes H$ . We choose the  $Y$ -module in the definition of  $C_{alg}^*(Y)$  to be  $H_Y = \ell^2(Y) \otimes H'$ , where  $H'$  is a separable Hilbert space. Choose a unitary  $U : H_X \rightarrow H_Y$  by identifying each  $L^2(A_y, \mu) \otimes H$  with  $H'$  via a unitary. Note that the unitary  $U$  intertwines the representations of the algebras  $C_{alg}^*(Y)$  and  $C_{alg}^*(X)$  on  $H_Y$  and  $H_X$ , i.e.,  $T \in C_{alg}^*(X) \subset \mathcal{B}(H_X)$  if and only if  $UTU^{-1} \in C_{alg}^*(Y) \subset \mathcal{B}(H_Y)$ .

**4.5.** Let  $X$  be a locally compact metric space. An element in  $K_0(X)$  can be described by a triple  $(H_X, \pi, T)$  such that  $H_X$  is a Hilbert space with a  $*$ -representation  $\pi$  of  $C_0(X)$  and  $T \in \mathcal{B}(H)$ ,  $T^*T - I$  and  $TT^* - I$  are locally compact, and  $\pi(f)T - T\pi(f)$  are compact for all  $f \in C_0(X)$ . We can always choose  $H_X$  to be an  $X$ -module. In this case, we use the pair  $(H_X, T)$  to denote the triple  $(H_X, \pi, T)$ . In particular, we can assume  $H_X = L^2(X, \mu) \otimes H$ , where  $\mu$  is a measure on  $X$  and  $H$  is a separable Hilbert space. (Note that each  $X$ -module  $H_X$  can be embedded into  $L^2(X, \mu) \otimes H$ , so that one can write  $L^2(X, \mu) \otimes H = H_X \oplus H_X^\perp$ , where  $H_X^\perp$  is the orthogonal complement of  $H_X$  in  $L^2(X, \mu) \otimes H$ . Let  $T' = T \oplus I_{H_X^\perp}$ . Then  $(H_X, T)$  is equivalent to  $(L^2(X, \mu) \otimes H, T')$ .)

**4.6.** The assembly maps

$$\mu_{max} : K_0(X) \rightarrow K_0(C_{max}^*(X)),$$

$$\mu_{red} : K_0(X) \rightarrow K_0(C_r^*(X))$$

are defined as below. Let  $(H_X, T)$  represent a cycle in  $K_0(X)$ . Let  $\{U_i\}_i$  be a locally finite, uniformly bounded open cover of  $X$  and  $\{\phi_i\}_i$  be a continuous partition of unity subordinate to the open cover  $\{U_i\}_i$ . Define  $F = \sum_i \phi_i^{\frac{1}{2}} T \phi_i^{\frac{1}{2}}$ , where the sum converges in the strong topology. It is not hard to see that  $(H_X, T)$  and  $(H_X, F)$  are equivalent in  $K_0(X)$ . Note that  $F$  has finite propagation, and  $F^*F - I$ , and  $FF^* - I$  are in  $C_{alg}^*(X)$ . Let

$$W = \begin{pmatrix} I & F \\ 0 & I \end{pmatrix} \begin{pmatrix} I & 0 \\ -F^* & I \end{pmatrix} \begin{pmatrix} I & F \\ 0 & I \end{pmatrix} \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix} \in \mathcal{B}(H_X \oplus H_X).$$

Then

$$W \begin{pmatrix} I & 0 \\ 0 & 0 \end{pmatrix} W^{-1} - \begin{pmatrix} I & 0 \\ 0 & 0 \end{pmatrix} \in C_{alg}^*(X) \otimes \mathcal{M}_2(\mathbb{C}),$$

since both  $W$  and  $W^{-1}$  have finite propagation. Hence

$$\left[ W \begin{pmatrix} I & 0 \\ 0 & 0 \end{pmatrix} W^{-1} \right] - \left[ \begin{pmatrix} I & 0 \\ 0 & 0 \end{pmatrix} \right]$$

defines an element in  $K_0(C_{\max}^*(X))$  by considering  $C_{alg}^*(X)$  as a subalgebra of  $C_{\max}^*(X)$ , denoted by  $\mu_{max}([(H_X, T)]) \in K_0(C_{\max}^*(X))$ . One can also define an element  $\mu_{red}([(H_X, T)]) \in K_0(C_r^*(X))$  by considering  $C_{alg}^*(X)$  as a subalgebra of  $C_r^*(X)$ . Hence, we obtain two assembly maps  $\mu_{max} : K_0(X) \rightarrow K_0(C_{\max}^*(X))$  and  $\mu_{red} : K_0(X) \rightarrow K_0(C_r^*(X))$ . Similarly, we can define  $\mu_{max} : K_1(X) \rightarrow K_1(C_{\max}^*(X))$  and  $\mu_{red} : K_1(X) \rightarrow K_1(C_r^*(X))$ .

**4.7.** Let  $Y$  be a locally finite simplicial complex of finite dimension. There is a naturally defined Connes-Chern map

$$ch : K_0(Y) \rightarrow \bigoplus_{i=0}^{\infty} H_{2i}(Y, \mathbb{R})$$

where the homology group is the locally finite homology group. In particular, if  $Y$  is compact, then the Connes-Chern map is an isomorphism after tensoring with  $\mathbb{R}$ . We remark that this is not true when  $Y$  is noncompact.

Let  $X$  be a locally finite discrete metric space with bounded geometry, then by passing to inductive limit, we have a Connes-Chern map

$$ch : \lim_{d \rightarrow \infty} K_0(P_d(X)) \rightarrow \lim_{d \rightarrow \infty} \bigoplus_{i=0}^{\infty} H_{2i}(P_d(X), \mathbb{R}).$$

Similarly, we have a Connes-Chern map

$$ch : \lim_{d \rightarrow \infty} K_1(P_d(X)) \rightarrow \lim_{d \rightarrow \infty} \bigoplus_{i=0}^{\infty} H_{2i+1}(P_d(X), \mathbb{R}).$$

**4.8.** For any locally finite discrete metric space  $X$  of bounded geometry, we know that  $C_{\max}^*(P_d(X))$  is isomorphic to  $C_{\max}^*(X)$  for any  $d > 0$ , since  $X$  is a discrete subspace of  $P_d(X)$  and is coarsely equivalent to the latter (see 4.4). Passing to inductive limit, the assembly map:  $K_0(P_d(X)) \rightarrow K_0(C_{\max}^*(X))$  defines a map

$$\mu_{max} : \lim_{d \rightarrow \infty} K_0(P_d(X)) \rightarrow K_0(C_{\max}^*(X)).$$

We can similarly define

$$\mu_{max} : \lim_{d \rightarrow \infty} K_1(P_d(X)) \rightarrow K_1(C_{\max}^*(X)).$$

**The Coarse Geometric Novikov Conjecture:**

*For any  $z$  in  $\lim_{d \rightarrow \infty} K_*(P_d(X))$ , if  $\mu_{max}(z) = 0$  in  $K_*(C_{\max}^*(X))$ , then  $ch(z) = 0$  in  $\lim_{d \rightarrow \infty} \bigoplus_{i=0}^{\infty} H_{2i+*}(P_d(X), \mathbb{R})$ .*

**4.9.** Let us recall some facts about the Connes-Chern map. Assume that  $Y$  is a countable union of mutually disjoint path connected components  $\{Y_j\}_j$ , namely,  $Y = \bigsqcup_{j=1}^{\infty} Y_j$  and let us assume that all  $Y_j$  are compact. Then

$$K_0(Y) = \prod_{j=1}^{\infty} K_0(Y_j),$$

$$H_{2i}(Y, \mathbb{R}) = \prod_{j=1}^{\infty} H_{2i}(Y_j, \mathbb{R})$$

and the Connes-Chern map

$$ch : K_0(Y) \longrightarrow \bigoplus_{i=0}^m H_{2i}(Y, \mathbb{R}) = \prod_{j=1}^{\infty} \left( \bigoplus_{i=0}^m H_{2i}(Y_j, \mathbb{R}) \right),$$

where  $m = \lfloor \dim(Y)/2 \rfloor$ , satisfies

$$ch(x_1, x_2, \dots, x_j, \dots) = (ch(x_1), ch(x_2), \dots, ch(x_j), \dots).$$

Recall that if  $Y$  is compact, then a cycle in  $K_0(Y)$  is represented by  $(H_Y, T)$  such that  $T^*T - I$ ,  $TT^* - I$  and  $[f, T]$  are compact operators for all  $f \in C(Y)$ . The map  $\pi : Y \rightarrow \{pt\}$  induces a map  $\pi_* : K_0(Y) \rightarrow K_0(\{pt\}) = \mathbb{Z}$ , which is given by

$$\pi_*(H_Y, T) = \text{ind}(T),$$

where  $\text{ind}(T)$  is the Fredholm index of  $T$ . Let  $Y = Y_1 \sqcup Y_2 \sqcup \dots \sqcup Y_j \sqcup \dots$ , where each  $Y_j$  is a path connected compact space. Suppose that

$$((H_{Y_1}, T_1), (H_{Y_2}, T_2), \dots, (H_{Y_j}, T_j), \dots)$$

represents  $(x_1, x_2, \dots, x_j, \dots) \in K_0(Y) = \prod_{j=1}^{\infty} K_0(Y_j)$ , then

$$\begin{aligned} ch_0(x_1, x_2, \dots, x_j, \dots) &= (\text{ind}(T_1), \text{ind}(T_2), \dots, \text{ind}(T_j), \dots) \\ &\in \prod_{j=1}^{\infty} \mathbb{Z} \subseteq \prod_{j=1}^{\infty} \mathbb{R} = \prod_{j=1}^{\infty} H_0(Y_j, \mathbb{R}). \end{aligned}$$

**4.10.** Let  $Y_1, Y_2, \dots, Y_i, \dots$  be a sequence of discrete metric spaces, each of which consists of finitely many elements. Let us assume that the metric  $d$  on  $Y_i$  satisfies the following conditions:  $d(y, y')$  is an integer and there is a sequence  $y = y_0, y_1, y_2, \dots, y_m = y'$  such that  $d(y_i, y_{i+1}) = 1$  for any two points  $y, y' \in Y_i$ . In particular,  $P_d(Y_i)$  are path connected if  $d \geq 1$ . Furthermore, let us assume that for  $r > 0$ , there is an  $N \geq 0$  such that for any  $Y_i$  and  $y \in Y_i$

$$\#\{z \in Y_i : d(y, z) < r\} \leq N.$$

One can endow a metric  $d$  on  $Y = \sqcup_{i=1}^{\infty} Y_i$  such that (i)  $d|_{Y_i}$  is the metric on  $Y_i$ , and (ii)  $\lim_{i+j \rightarrow \infty, i \neq j} d(Y_i, Y_j) = \infty$ .



It is straight forward to check that for any two metrics  $d_1$  and  $d_2$  satisfying the conditions (i) and (ii),  $(Y, d_1)$  and  $(Y, d_2)$  are coarsely equivalent, and the coarse equivalence is implemented by  $id_Y$ . Without loss of generality, we assume that  $d$  satisfies the following conditions

$$d(Y_i, Y_n) > d(Y_m, Y_n), \quad d(Y_i, Y_n) > d(Y_i, Y_m), \quad d(Y_n, Y_{n+1}) > d(Y_m, Y_{m+1})$$

provided that  $n > m > i$ . Then for any  $d \geq 1$ , there is an integer  $n(d) \in \mathbb{Z}_+$  such that  $d(Y_{n(d)-1}, Y_{n(d)}) \leq d$  and  $d(Y_{n(d)}, Y_{n(d)+1}) > d$ . Let  $Y^0 = \bigsqcup_{i=1}^{n(d)} Y_i$ , then  $P_d(Y) = P_d(Y^0) \sqcup \bigsqcup_{i=n(d)+1}^{\infty} P_d(Y_i)$ , where each  $P_d(Y^0)$  and  $P_d(Y_i)$ ,  $i \geq n(d) + 1$ , is path connected and compact. Let  $m = n(d) + 1$ , and let  $x \in K_0(P_d(Y))$ . Then  $x$  can be written as  $x = (x^0, x_m, x_{m+1}, \dots)$ , where  $x^0 \in K_0(P_d(Y^0))$  and  $x_i \in K_0(P_d(Y_i))$  for  $i \geq m$ . Assume that  $x$  is represented by

$$\left( H_{P_d(Y^0)} \oplus \bigoplus_{i=m}^{\infty} H_{P_d(Y_i)}, \quad T^0 \oplus \bigoplus_{i=m}^{\infty} T_i \right).$$

Then

$$\begin{aligned} ch_0(x) &= (\text{ind}(T^0), \text{ind}(T_m), \text{ind}(T_{m+1}), \dots) \\ &\in \mathbb{Z} \oplus \prod_{i=m}^{\infty} \mathbb{Z} \\ &\subseteq \mathbb{R} \oplus \prod_{i=m}^{\infty} \mathbb{R} \\ &= H_0(P_d(Y^0), \mathbb{R}) \oplus \prod_{i=m}^{\infty} H_0(P_d(Y_i), \mathbb{R}). \end{aligned}$$

If  $d' > d$ , let  $n(d')$  be the largest integer such that  $d(Y_{n(d')-1}, Y_{n(d')}) \leq d'$ . Let  $m' = n(d') + 1$ ,  $\tilde{Y}^0 = \bigsqcup_{i=1}^{m'-1} Y_i$ . Recall that the inclusion  $i_{d',d} : P_d(Y) \rightarrow P_{d'}(Y)$  induces the map  $(i_{d',d})_* : K_0(P_d(Y)) \rightarrow K_0(P_{d'}(Y))$ . It is clear that  $(i_{d',d})_*(x)$  can be written as  $(\tilde{x}^0, \tilde{x}_{m'}, \tilde{x}_{m'+1}, \dots)$ , where

$$\tilde{x}^0 = (i_{d',d})_*(x^0 + x_m + x_{m+1} + \dots + x_{m'-1})$$

and

$$\tilde{x}_i = (i_{d',d})_*(x_i)$$

for all  $i \geq m'$ . In particular,

$$\begin{aligned} ch_0((i_{d',d})_*(x)) &= \left( \text{ind}(T^0) + \sum_{i=m}^{m'-1} \text{ind}(T_i), \text{ind}(T_{m'}), \text{ind}(T_{m'+1}), \dots \right) \\ &\in \mathbb{Z} \oplus \prod_{i=m'}^{\infty} \mathbb{Z} \\ &\subseteq \mathbb{R} \oplus \prod_{i=m'}^{\infty} \mathbb{R} \\ &= H_0(P_{d'}(\tilde{Y}^0), \mathbb{R}) \oplus \prod_{i=m'}^{\infty} H_0(P_{d'}(Y_i), \mathbb{R}). \end{aligned}$$

**Lemma 4.11.** Let  $Y$  be as in 4.10, and let  $x \in \lim_{d \rightarrow \infty} K_0(P_d(Y))$ . If  $\mu_{max}(x) = 0$  in  $K_0(C_{max}^*(Y))$ , then  $ch_0(x) = 0$  in  $\lim_{d \rightarrow \infty} H_0(P_d(Y), \mathbb{R})$ .

**Proof.** For each  $Y_j$ , choose a point  $w_j \in Y_j$ . Let  $W = \{w_1, w_2, \dots, w_j, \dots\}$ . Let  $i : W \rightarrow Y$  be the inclusion and  $\pi : Y \rightarrow W$  be the map taking every point in  $Y_j$  to  $w_j$ . Then both  $i$  and  $\pi$  are proper, and  $\pi \circ i = id_W$ . The lemma follows from the Coarse Baum-Connes Conjecture for  $W$  and the isomorphism

$$\lim_{d \rightarrow \infty} H_0(P_d(Y), \mathbb{R}) \cong \lim_{d \rightarrow \infty} H_0(P_d(W), \mathbb{R}).$$

(Note that  $W$  has asymptotic dimension zero, hence the coarse Baum-Connes conjecture holds for  $W$  [26].)  $\square$

**4.12.** Let  $X$  be a locally compact metric space with proper  $\Gamma$ -action. Recall that  $C_{alg}^*(X) \subset \mathcal{B}(L^2(X) \otimes H)$  consists of locally compact, finite propagation operators.  $\Gamma$  acts on  $L^2(X) \otimes H$  by

$$(\gamma\xi)(x) = \xi(\gamma^{-1}x), \quad \forall \gamma \in \Gamma.$$

Similar to the discrete case in 3.11, there is a natural action of  $\Gamma$  on  $C_{alg}^*(X)$  by

$$\gamma(T) = \gamma \cdot T \cdot \gamma^{-1}.$$

Denote by  $C_{alg}^*(X)^\Gamma$  the algebra of all  $\Gamma$ -invariant elements in  $C_{alg}^*(X)$ . Similar to the discrete case again, one can define  $C_{max, \Gamma}^*(X)$  to be the completion of  $C_{alg}^*(X)^\Gamma$  with respect to the maximum norm. To prove the existence of the maximum norm, first choose a  $\Gamma$ -invariant discrete subset  $Y$  which is coarsely equivalent to  $X$ . Then  $Y$  has bounded geometry and  $C_{alg}^*(X)^\Gamma \cong C_{alg}^*(Y)^\Gamma$ . The existence of the maximum norm follows from the following lemma.

**Lemma 4.13.** For any  $a \in C_{alg}^*(Y)^\Gamma$ , there exists  $C_a > 0$  such that for any  $*$ -representation  $\phi : C_{alg}^*(Y)^\Gamma \rightarrow \mathcal{B}(H')$ , one has  $\|\phi(a)\| \leq C_a$ .

**Proof.** The proof is similar to the proof of Lemma 3.4. The only difference is that we need to write  $a$  as the sum of  $\Gamma$ -invariant elements  $a_i$  such that  $a_i^* a_i \in C_b(Y, \mathcal{K}(H))$ .  $\square$

**4.14.** Let  $\Gamma$  be a finitely generated discrete group. Let  $X$  be a locally compact space with a proper  $\Gamma$ -action. In this subsection, we define the Baum-Connes map [1, 3, 22]

$$\mu : K_*^\Gamma(X) \rightarrow K_*(C_{max, \Gamma}^*(X)).$$

Recall that an equivariant  $K$ -cycle in  $K_0^\Gamma(X)$  is described by a triple  $(H_X, \pi, T)$ , where

- (1)  $H_X$  is a Hilbert space endowed with a unitary representation of  $\Gamma$ .
- (2)  $\pi$  is a covariant representation of  $C_0(X)$  on  $H_X$ , i.e.,  $\pi : C_0(X) \rightarrow \mathcal{B}(H_X)$  is a  $*$ -homomorphism such that

$$\pi(\gamma(f)) = \gamma\pi(f)\gamma^{-1}, \quad \forall \gamma \in \Gamma, f \in C_0(X).$$

- (3)  $T \in \mathcal{B}(H_X)$  such that  $[T, \pi(f)], \pi(f)(T^*T - I), \pi(f)(TT^* - I)$  and  $\pi(f)[\gamma, T]$  are compact operators on  $H_X$  for any  $f \in C_0(X)$  and  $\gamma \in \Gamma$ .

The Hilbert space  $H_X$  can always be chosen to be an  $X$ -module. In this case, we denote the triple  $(H_X, \pi, T)$  by the pair  $(H_X, T)$ . Since the  $\Gamma$ -action is proper, one can assume that  $[\gamma, T] = 0$ . As in 4.5, one can also assume that  $H_X = L^2(X, \mu) \otimes H$ , where  $\mu$  is a  $\Gamma$ -invariant measure,  $H$  is a separable Hilbert space, and  $\gamma \in \Gamma$  acts on  $H_X$  by

$$(\gamma(\xi \otimes h))(x) = \xi(\gamma^{-1}x) \otimes h, \quad \forall \xi \otimes h \in L^2(X, \mu) \otimes H,$$

and, furthermore,  $C_0(X)$  acts on  $H_X$  by multiplications on  $L^2(X, \mu)$ . We can choose a locally finite and uniformly bounded open cover  $\{U_i\}_i$  such that, for each  $\gamma \in \Gamma$  and each  $i$ , there exists  $j$  satisfying  $\gamma U_i = U_j$ . Let  $\{\phi_i\}_i$  be a continuous partition of unity subordinate to  $\{U_i\}_i$  such that, for each  $\gamma \in \Gamma$  and each  $i$ , there exists  $j$  satisfying  $\gamma(\phi_i) = \phi_j$ . We define  $F = \sum_i \phi_i^{\frac{1}{2}} T \phi_i^{\frac{1}{2}}$ , where the sum converges in the strong topology. Note that  $F$  has finite propagation and is  $\Gamma$ -invariant. It is easy to see that  $[(H_X, T)] = [(H_X, F)]$  in  $K_*^\Gamma(X)$ . Let

$$W = \begin{pmatrix} I & F \\ 0 & I \end{pmatrix} \begin{pmatrix} I & 0 \\ -F^* & I \end{pmatrix} \begin{pmatrix} I & F \\ 0 & I \end{pmatrix} \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix}.$$

Then  $W$  has finite propagation, and

$$W \begin{pmatrix} I & 0 \\ 0 & 0 \end{pmatrix} W^{-1} - \begin{pmatrix} I & 0 \\ 0 & 0 \end{pmatrix} \in C_{alg}^*(X)^\Gamma.$$

Define the Baum-Connes map

$$\begin{aligned} \mu\left([[(H_X, T)]]\right) &= \left[ W \begin{pmatrix} I & 0 \\ 0 & 0 \end{pmatrix} W^{-1} \right] - \left[ \begin{pmatrix} I & 0 \\ 0 & 0 \end{pmatrix} \right] \\ &\in K_0(C_{\max, \Gamma}^*(X)). \end{aligned}$$

Similarly, we can define

$$\mu : K_1^\Gamma(X) \rightarrow K_1(C_{\max, \Gamma}^*(X)).$$

**4.15.** In this paper, we will use two versions of the Strong Novikov Conjecture [3, 14]. The first version is as follows.

**The Strong Novikov Conjecture (I):**

*The Baum-Connes map*

$$\mu : \lim_{d \rightarrow \infty} K_*^\Gamma(P_d(\Gamma)) \rightarrow \lim_{d \rightarrow \infty} K_*(C_{\max, \Gamma}^*(P_d(\Gamma))) \cong K_*(C_{\max}^*(\Gamma))$$

*is rationally injective, i.e., if  $x \in K_*^\Gamma(P_d(\Gamma))$  such that  $\mu(x) = 0$  in  $K_*(C_{\max}^*(\Gamma))$ , then there are  $d' \geq d$  and  $n \in \mathbb{N}$  such that  $nx = 0$  in  $K_*^\Gamma(P_{d'}(\Gamma))$ .*

Note that  $|\Gamma|$  and  $P_d(\Gamma)$  are coarsely equivalent. Therefore,

$$C_{\max, \Gamma}^*(P_d(\Gamma)) \cong C_{\max, \Gamma}^*(|\Gamma|) \cong C_{\max}^*(\Gamma) \otimes \mathcal{K}(H).$$

**4.16.** The second version of the Strong Novikov Conjecture involves classifying space for free actions. Throughout this paper, all free actions are assumed to be proper. Namely, an action of  $\Gamma$  on  $X$  is said to be free if for any  $x \in X$  there is a neighborhood  $U \subset X$  of  $x$  such that  $\gamma_1 U \cap \gamma_2 U = \emptyset$  for any  $\gamma_1, \gamma_2 \in \Gamma$  with  $\gamma_1 \neq \gamma_2$ .

Let  $E\Gamma$  with a free  $\Gamma$ -action be a universal space for free actions, and let  $B\Gamma = E\Gamma/\Gamma$  be the classifying space. One can choose  $B\Gamma$  to be a simplicial

complex (not necessarily finite) and then  $E\Gamma$  is a  $\Gamma$ -simplicial complex. Let  $B_1 \subset B_2 \subset B_3 \subset \cdots$  be a sequence of finite sub-simplicial complex of  $B\Gamma$  with  $B\Gamma = \bigcup_{k=1}^{\infty} B_k$ . Let  $E_k\Gamma = \pi^{-1}(B_k) \subset E\Gamma$ . Then

$$E_1\Gamma \subset E_2\Gamma \subset \cdots \subset E_k\Gamma \subset \cdots$$

is a sequence of locally finite simplicial complexes. One can endow each  $E_k\Gamma$  with a  $\Gamma$ -invariant metric so that each  $E_k\Gamma$  is a locally compact metric space with free  $\Gamma$ -action. By definition, we have

$$K_*^\Gamma(E\Gamma) = \lim_{k \rightarrow \infty} K_*^\Gamma(E_k\Gamma).$$

### The Strong Novikov Conjecture (II):

*The Baum-Connes map*

$$\mu : \lim_{k \rightarrow \infty} K_*^\Gamma(E_k\Gamma) \rightarrow \lim_{k \rightarrow \infty} K_*(C_{\max, \Gamma}^*(E_k\Gamma)) \cong K_*(C_{\max}^*(\Gamma))$$

is rationally injective.

Since the  $\Gamma$ -action is free,  $E_k\Gamma$  is coarsely equivalent to  $\Gamma$ . Therefore,

$$C_{\max, \Gamma}^*(E_k\Gamma) \cong C_{\max, \Gamma}^*(|\Gamma|) \cong C_{\max}^*(\Gamma) \otimes \mathcal{K}(H).$$

Since a free action is also a proper action, there is a map

$$\Phi : \lim_{k \rightarrow \infty} K_*^\Gamma(E_k\Gamma) \rightarrow \lim_{d \rightarrow \infty} K_*^\Gamma(P_d(\Gamma))$$

such that the following diagram commutes

$$\begin{array}{ccc} \lim_{k \rightarrow \infty} K_*^\Gamma(E_k\Gamma) & \xrightarrow{\Phi} & \lim_{d \rightarrow \infty} K_*^\Gamma(P_d(\Gamma)) \\ & \searrow \mu & \swarrow \mu \\ & K_*(C_{\max}^*(\Gamma)) & \end{array}$$

It is well known that the map  $\Phi$  is rationally injective [1, 3]. Hence, the Strong Novikov Conjecture (I) implies the Strong Novikov conjecture (II).

**4.17.** If  $\Gamma'$  is a normal subgroup of  $\Gamma$  with  $\Gamma/\Gamma'$  finite, then  $E_k\Gamma/\Gamma'$  is a finite cover over  $E_k\Gamma/\Gamma$ . Hence, the map

$$K_*^\Gamma(E_k\Gamma) \rightarrow K_*^{\Gamma'}(E_k\Gamma)$$

is rationally injective.

## 5. The Main Theorem

In this section, we state and prove the main result of this paper.

**5.1.** Let  $\Gamma$  be a finitely generated residually finite group. We can assume that there is a sequence of normal subgroups of finite index

$$\Gamma_1 \supseteq \Gamma_2 \supseteq \cdots \supseteq \Gamma_i \supseteq \cdots$$

such that

$$\bigcap_{i=1}^{\infty} \Gamma_i = \{e\}.$$

Endow  $\Gamma/\Gamma_i$  with the quotient metric, that is,

$$d(a\Gamma_i, b\Gamma_i) = \min\{d(a\gamma_1, b\gamma_2) : \gamma_1, \gamma_2 \in \Gamma_i\}.$$

Let  $X(\Gamma) = \bigsqcup_{i=1}^{\infty} \Gamma/\Gamma_i$  be the disjoint union of  $\Gamma/\Gamma_i$ . We endow a metric on  $X(\Gamma)$  such that its restriction to each  $\Gamma/\Gamma_i$  is the quotient metric defined above and

$$\lim_{n+m \rightarrow \infty, n \neq m} d(\Gamma/\Gamma_n, \Gamma/\Gamma_m) = \infty.$$

The metric space  $X(\Gamma)$  is called the box metric space [23].

The main theorem of this paper is the following

**Theorem 5.2.** Let  $\Gamma$  be a finitely generated residually finite group and let  $X(\Gamma)$  be the space associated to  $\Gamma$  as in 5.1. Then the following statements hold:

(1) The Coarse Geometric Novikov Conjecture for  $X(\Gamma)$  implies the Strong Novikov Conjecture (II) for  $\Gamma$  and all subgroups  $\Gamma_n$ ,  $n = 1, 2, \dots$ .

(2) If the classifying space  $(\bigcup_{d=1}^{\infty} P_d(\Gamma))/\Gamma$  for proper  $\Gamma$ -actions has homotopy type of a compact CW complex, then the Strong Novikov Conjecture (I) for  $\Gamma$  and all subgroups  $\Gamma_n$  ( $n = 1, 2, 3, \dots$ ) implies the Coarse Geometric Novikov Conjecture for  $X(\Gamma)$ .

(3) If the classifying space  $E\Gamma/\Gamma$  for free  $\Gamma$ -actions has homotopy type of a compact CW complex, then the Strong Novikov Conjecture (II) for  $\Gamma$

and all subgroups  $\Gamma_n$  ( $n = 1, 2, 3, \dots$ ) implies the Coarse Geometric Novikov Conjecture for  $X(\Gamma)$ .

Lafforgue has constructed residually finite property T groups whose associated sequences of expanders are not coarsely embeddable into any uniformly convex Banach space [17]. Lafforgue's groups satisfy condition (2) of Theorem 5.2. By Theorem 5.2, we obtain the Coarse Geometric Novikov Conjecture for Lafforgue's sequences of expanders in [17].

**Remark 5.3.** (a) From Theorem 5.2 (1) and (3), we know that if  $E\Gamma/\Gamma$  has homotopy type of a compact CW complex, then the Strong Novikov Conjecture (II) for  $\Gamma$  and all  $\Gamma_n$  ( $n = 1, 2, 3, \dots$ ) is equivalent to the Coarse Geometric Novikov Conjecture for  $X(\Gamma)$ . This gives a geometrization of the Strong Novikov Conjecture for these groups.

(b) In part (2) of Theorem 5.2, we assume that the Strong Novikov Conjecture (I) holds not only for  $\Gamma$ , but also for all its subgroups  $\Gamma_n$ . We remark that, for all the known examples of groups satisfying the Strong Novikov Conjecture (I), their subgroups also satisfy the Strong Novikov Conjecture (I).

(c) Note that if  $E\Gamma/\Gamma$  has homotopy type of a compact CW complex, then  $\Gamma$  is torsion free. In this case, the Strong Novikov Conjecture (I) and (II) are equivalent. Hence, statement (2) implies statement (3), and we need only to prove (1) and (2).

**5.4.** We need some preparations to prove Theorem 5.2. We shall prove Theorem 5.2 for the even case, i.e., when  $* = 0$ . The odd case can be proved in a similar way by a suspension argument.

For convenience, we also assume that, if  $n > m > i$ , then

$$\begin{aligned} d(\Gamma/\Gamma_i, \Gamma/\Gamma_n) &> d(\Gamma/\Gamma_m, \Gamma/\Gamma_n), \\ d(\Gamma/\Gamma_i, \Gamma/\Gamma_n) &> d(\Gamma/\Gamma_m, \Gamma/\Gamma_i), \\ d(\Gamma/\Gamma_n, \Gamma/\Gamma_{n+1}) &> d(\Gamma/\Gamma_m, \Gamma/\Gamma_{m+1}). \end{aligned}$$

The proof will occupy the rest of this section. In what follows, we will denote  $X(\Gamma)$  by  $X$ . Let an element  $\theta \in K_0(P_d(X))$  be represented by the pair

$$(L^2(P_d(X)) \otimes H, T),$$

where  $T \in \mathcal{B}(L^2(P_d(X)) \otimes H)$  is an operator with finite propagation, and  $(T^*T - I)f$ ,  $(TT^* - I)f$  and  $Tf - fT$  are compact for all  $f \in C_0(X)$ . We will denote its class in  $K_0(P_d(X))$  by  $[T]$ .

We assume that the propagation of  $T$  is  $l$ . Let  $n$  be large enough such that

$$d_\Gamma(\gamma, e) > 2l + 2d, \quad \forall \gamma \in \Gamma_n,$$

and

$$d_X(\Gamma/\Gamma_n, \Gamma/\Gamma_{n+1}) > 2l + 2d.$$

Let  $Y = \bigsqcup_{i=n}^\infty \Gamma/\Gamma_i \subset X$ . Note that  $P_d(Y)$  is a closed and open subset of  $X$ . Furthermore,  $P_d(Y) = \bigsqcup_{i=n}^\infty P_d(\Gamma)/\Gamma_i$ . We have

$$T|_{L^2(P_d(Y)) \otimes H} = \text{diag}\{T_n, T_{n+1}, \dots\},$$

where  $T_i \in \mathcal{B}(L^2(P_d(\Gamma)/\Gamma_i) \otimes H)$ . The local compactness of the operator  $(T^*T - I)$  is equivalent to the fact that the operators  $(T_i^*T_i - I)$  for  $i \geq n$  and

$$(T^*T - I)|_{L^2(P_d(\bigsqcup_{i=1}^{n-1} \Gamma/\Gamma_i) \otimes H)}$$

are all compact.

We shall lift each operator  $T_i \in \mathcal{B}(L^2(P_d(\Gamma/\Gamma_i)) \otimes H)$  to a  $\Gamma_i$ -invariant operator  $S_i \in \mathcal{B}(L^2(P_d(\Gamma)) \otimes H)$ . Let  $B$  be the fundamental domain of  $P_d(\Gamma)$  in the sense that  $P_d(\Gamma) = \cup_{\gamma \in \Gamma} \gamma B$  and  $\gamma_1 B \cap \gamma_2 B$  has measure zero if  $\gamma_1 \neq \gamma_2 \in \Gamma$ .

Such a fundamental domain can be obtained in the following way by using the barycentric subdivision of  $P_d(\Gamma)$ . Let  $B$  be the union of all simplices of the barycentric subdivision of  $P_d(\Gamma)$  with the identity  $e \in \Gamma \subset P_d(\Gamma)$  as a vertex. If  $\gamma \neq e$ , then any point  $x \in \gamma B \cap B$  will be in a proper face of a



simplex, which has  $e$  as a vertex and therefore has lower dimension. If we choose the measure careful enough, then such a set has measure zero.

Now we identify  $L^2(P_d(\Gamma)/\Gamma)$  with  $H_1 := L^2(B)$ . Similarly,  $L^2(P_d(\Gamma)/\Gamma_i)$  is identified with  $\ell^2(\Gamma/\Gamma_i) \otimes H_1$ , and  $L^2(P_d(\Gamma))$  is identified with  $\ell^2(\Gamma) \otimes H_1$ . To define  $S_i$  in

$$\mathcal{B}(L^2(P_d(\Gamma)) \otimes H) \cong \mathcal{B}((\ell^2(\Gamma) \otimes H_1) \otimes H) \cong \mathcal{B}(\oplus_{x \in \Gamma} (H_1 \otimes H)),$$

one needs only to specify each entry  $S_{i;x,y} \in \mathcal{B}(H_1 \otimes H)$  for  $x, y \in \Gamma$ . For each  $x \in \Gamma$ , let  $[x] = x\Gamma_i \in \Gamma/\Gamma_i$  be the coset corresponding to  $x$ . We define

$$S_{i;x,y} = \begin{cases} T_{i;[x],[y]}, & \text{if } d(x, y) \leq l, \\ 0, & \text{otherwise,} \end{cases}$$

where, for  $[x], [y] \in \Gamma/\Gamma_i$ , the operator  $T_{i;[x],[y]} \in \mathcal{B}(H_1 \otimes H)$  is the  $([x], [y])$ -entry in the matrix form of  $T_i \in \mathcal{B}(\ell^2(\Gamma/\Gamma_i) \otimes H_1 \otimes H)$ . It is straightforward to verify that  $S_i$  is  $\Gamma_i$ -invariant, with propagation at most  $l$ , and locally compact. Therefore,  $S_i$  defines an element in  $K_0^{\Gamma_i}(P_d(\Gamma))$ . (Another way to view  $S_i$  is to identify  $L^2(P_d(\Gamma))$  with  $\ell^2(\Gamma_i) \otimes L^2(P_d(\Gamma)/\Gamma_i)$  since  $\Gamma_i$  acts on  $P_d(\Gamma)$  freely for  $i \geq n$ , and let  $S_i = I_{\ell^2(\Gamma_i)} \otimes T_i$ .)

Note that  $\Gamma_i$  acts freely on  $P_d(\Gamma)$  for  $i \geq n$ . Therefore,

$$K_0^{\Gamma_i}(P_d(\Gamma)) \cong K_0(P_d(\Gamma)/\Gamma_i).$$

This isomorphism takes  $[T_i] \in K_0(P_d(\Gamma)/\Gamma_i)$  to  $[S_i] \in K_0^{\Gamma_i}(P_d(\Gamma))$ . Hence,  $[T_i] = 0$  if and only if  $[S_i] = 0$ . The lifting defines a map

$$\alpha : K_0(P_d(X)) \rightarrow \prod_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)) / \bigoplus_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)).$$

**Lemma 5.5.** The map  $\alpha$  in 5.4 satisfies the following condition:

Given  $d_0 > 0$ , for any  $[T] \in K_0(P_{d_0}(X))$ , let  $\{[S_i]\}_{i \geq n} \in \prod_{i=n}^{\infty} K_0^{\Gamma_i}(P_{d_0}(\Gamma))$  represent  $\alpha([T])$ . If  $[S_i]$  are torsion elements except for finitely many  $i$ , then there is  $d > d_0$  such that  $ch_j((i_{d,d_0})_*([T])) \in H_{2j}(P_d(X), \mathbb{R})$  are zero for all  $j \geq 1$ .

We remark that  $ch_0([T])$  may be different from zero.

**Proof.** Suppose that  $[S_i]$  is a torsion element for every  $i \geq n$ . Without loss of generality, we can assume that  $n$  satisfies the conditions in 5.4, that is,  $d_\Gamma(\gamma, e) > 2l + 2d$  for  $\gamma \in \Gamma_n$ , and  $d_X(\Gamma/\Gamma_n, \Gamma/\Gamma_{n+1}) > 2l + 2d$  for  $S_i$  as defined in 5.4. Let  $Z = \bigsqcup_{i=1}^{n-1} \Gamma/\Gamma_i$ , and let  $[T^0] \in K_0(P_{d_0}(Z))$  and  $[T_i] \in K_0(P_{d_0}(\Gamma)/\Gamma_i)$  for  $i \geq n$  be induced by  $[T] \in K_0(P_d(X))$ . Then  $[T_i]$  are torsion elements for  $i \geq n$ . Hence,

$$ch([T_i]) = 0 \in H_{even}(P_{d_0}(\Gamma)/\Gamma_i, \mathbb{R}). \quad (*)$$

Of course, it will be zero, considered as an element in  $H_{even}(P_d(\Gamma)/\Gamma_i, \mathbb{R})$  for any  $d \geq d_0$ . Choose  $d$  large enough such that  $diameter(Z) < d$ . Then the map  $P_{d_0}(Z) \rightarrow P_d(X)$  is homotopic to a map  $P_{d_0}(Z) \rightarrow \{x\}$ , where  $x \in P_d(X)$  is any chosen point. Hence,

$$ch((i_{d,d_0})_*([T^0])) \in H_{even}(P_d(X), \mathbb{R})$$

factors through  $H_{even}(\{pt\}, \mathbb{R}) = H_0(\{pt\}, \mathbb{R})$ . This implies  $ch_j((i_{d,d_0})_*([T^0])) = 0$  for  $j > 0$ . Combining this with (\*), we obtain the lemma.  $\square$

**5.6.** Next we shall define a homomorphism

$$\phi : C_{\max}^*(X) \rightarrow \prod_{i=1}^{\infty} C_{\max, \Gamma_i}^*(|\Gamma|) / \bigoplus_{i=1}^{\infty} C_{\max, \Gamma_i}^*(|\Gamma|).$$

Here,  $C_{\max, \Gamma_i}^*(|\Gamma|)$  is the completion of the algebra  $C_{alg}^*(|\Gamma|)^{\Gamma_i}$  of all  $\Gamma_i$  invariant elements in  $C_{alg}^*(|\Gamma|)$ , with respect to the maximum norm (see 3.14).

Let  $T \in C_{alg}^*(X) \subset \mathcal{B}(\ell^2(X) \otimes H)$ . Suppose that  $T$  has finite propagation  $l$ . Let  $n$  be the smallest positive integer such that  $d(\gamma, e) > 2l$  for  $\gamma \in \Gamma_n$  and  $d_X(\Gamma/\Gamma_n, \Gamma/\Gamma_{n+1}) > 2l$ . Let  $Z = \bigsqcup_{i=1}^{n-1} \Gamma/\Gamma_i$ ,  $Y = \bigsqcup_{i=n}^{\infty} \Gamma/\Gamma_i$ . Evidently,  $T$  induces operators  $T^0 \in \mathcal{B}(\ell^2(Z) \otimes H)$  and  $T_i \in \mathcal{B}(\ell^2(\Gamma/\Gamma_i) \otimes H)$  for  $i \geq n$ . Let  $S_i \in \mathcal{B}(\ell^2(\Gamma) \otimes H)$  be defined by

$$S_{i;x,y} = \begin{cases} T_{i;[x],[y]}, & \text{if } d(x, y) \leq l, \\ 0, & \text{otherwise,} \end{cases}$$

where, for  $x, y \in \Gamma$ ,  $S_{i;x,y}$  denotes the  $(x, y)$ -entry of the matrix form of  $S_i$  and, for  $[x], [y] \in \Gamma/\Gamma_i$ , the operator  $T_{i:[x],[y]} \in \mathcal{B}(H)$  is the  $([x], [y])$ -entry in the matrix form of  $T_i \in \mathcal{B}(\ell^2(\Gamma/\Gamma_i) \otimes H)$ . Then  $S_i \in C_{alg}^*(|\Gamma|)^{\Gamma_i} \subseteq C_{\max, \Gamma_i}^*(|\Gamma|)$ , and the correspondence  $T \mapsto \{S_i\}_{i \geq n}$  defines a map

$$\phi_l : C_{alg, l}^*(X) \rightarrow \prod_{i=n}^{\infty} C_{\max, \Gamma_i}^*(|\Gamma|)$$

which satisfies

$$\|\phi_l(T)\| \leq \|T\|_l,$$

where  $C_{alg, l}^*(X)$  is defined as in 3.6 and  $\|T\|_l$  is defined as in 3.8. Hence, by Lemma 3.9, let  $l$  go to infinity, one obtains a  $*$ -homomorphism

$$\phi : C_{alg}^*(X) \rightarrow \prod_{i=1}^{\infty} C_{\max, \Gamma_i}^*(|\Gamma|) / \bigoplus_{i=1}^{\infty} C_{\max, \Gamma_i}^*(|\Gamma|)$$

and  $\|\phi(T)\| \leq \|T\|_{\infty} = \|T\|_{\max}$ , where we used the fact that

$$\|(s_n, s_{n+1}, \dots)\| = \overline{\lim}_{m \rightarrow \infty} \|s_m\|$$

for an element in

$$\prod_{i=1}^{\infty} C_{\max, \Gamma_i}^*(|\Gamma|) / \bigoplus_{i=1}^{\infty} C_{\max, \Gamma_i}^*(|\Gamma|)$$

represented by  $(s_n, s_{n+1}, \dots)$ . Hence,  $\phi$  can be extended to a  $*$ -homomorphism

$$\phi : C_{\max}^*(X) \rightarrow \prod_{i=1}^{\infty} C_{\max, \Gamma_i}^*(|\Gamma|) / \bigoplus_{i=1}^{\infty} C_{\max, \Gamma_i}^*(|\Gamma|).$$

Note that  $C_{\max, \Gamma_i}^*(|\Gamma|) \cong C_{\max}^*(\Gamma_i) \otimes \mathcal{K}(H)$ . So  $\phi$  is a homomorphism from  $C_{\max}^*(X)$  to

$$\left( \prod_{i=1}^{\infty} C_{\max}^*(\Gamma_i) \otimes \mathcal{K}(H) \right) / \left( \bigoplus_{i=1}^{\infty} C_{\max}^*(\Gamma_i) \otimes \mathcal{K}(H) \right).$$

**5.7.** Since every element  $x \in K_0(C_{\max}^*(\Gamma_i) \otimes \mathcal{K}(H))$  can be realized as a formal difference of projections  $[p] - [q]$  with  $p, q \in C_{\max}^*(\Gamma_i) \otimes \mathcal{K}(H)$ , we

have

$$\begin{aligned} K_0(\prod_{i=1}^{\infty}(C_{\max}^*(\Gamma_i) \otimes \mathcal{K}(H))) &= \prod_{i=1}^{\infty} K_0(C_{\max}^*(\Gamma_i) \otimes \mathcal{K}(H)) \\ &= \prod_{i=1}^{\infty} K_0(C_{\max}^*(\Gamma_i)). \end{aligned}$$

Consequently,

$$\begin{aligned} &K_0\left(\prod_{i=1}^{\infty}(C_{\max}^*(\Gamma_i) \otimes \mathcal{K}(H)) / \bigoplus_{i=1}^{\infty}(C_{\max}^*(\Gamma_i) \otimes \mathcal{K}(H))\right) \\ &\cong \left(\prod_{i=1}^{\infty} K_0(C_{\max}^*(\Gamma_i))\right) / \left(\bigoplus_{i=1}^{\infty} K_0(C_{\max}^*(\Gamma_i))\right). \end{aligned}$$

Hence,  $\phi$  induces a map

$$\phi_* : K_0(C_{\max}^*(X)) \rightarrow \prod_{i=1}^{\infty} K_0(C_{\max}^*(\Gamma_i)) / \bigoplus_{i=1}^{\infty} K_0(C_{\max}^*(\Gamma_i)).$$

### 5.8. The proof of (2) of Theorem 5.2.

From 5.4, 5.6 and 5.7, there is a commuting diagram

$$\begin{array}{ccc} K_0(P_{d_0}(X)) & \xrightarrow{\alpha} & \left(\prod_{i=1}^{\infty} K_0^{\Gamma_i}(P_{d_0}(\Gamma))\right) / \left(\bigoplus_{i=1}^{\infty} K_0^{\Gamma_i}(P_{d_0}(\Gamma))\right) \\ \mu_{max} \downarrow & & \downarrow \prod_{i=1}^{\infty} \mu_i \\ K_0(C_{\max}^*(X)) & \xrightarrow{\phi_*} & \left(\prod_{i=1}^{\infty} K_0(C_{\max}^*(\Gamma_i))\right) / \left(\bigoplus_{i=1}^{\infty} K_0(C_{\max}^*(\Gamma_i))\right), \end{array}$$

where  $\mu_i$  denotes the Baum-Connes map for  $\Gamma_i$ . Let  $x \in K_0(P_{d_0}(X))$  and assume that

$$\mu_{max}(x) = 0 \in K_0(C_{\max}^*(X)).$$

We need to prove that there is a  $d > 0$  such that

$$ch((i_{d,d_0})_*(x)) = 0 \in \bigoplus_{i=0}^{\infty} H_{2i}(P_d(X), \mathbb{R}).$$

Let

$$\alpha(x) = [(y_1, y_2, \dots, y_n, \dots)] \in \prod_{i=1}^{\infty} K_0^{\Gamma_i}(P_{d_0}(\Gamma)) / \bigoplus_{i=1}^{\infty} K_0^{\Gamma_i}(P_{d_0}(\Gamma)).$$

The assumption  $(\prod_{i=1}^{\infty} \mu_i)(\alpha(x)) = 0$  implies that there is a positive integer  $n$  such that  $\mu_i(y_i) = 0$  for all  $i \geq n$ . By the Strong Novikov Conjecture (I) for  $\Gamma$  and that for the subgroups  $\Gamma_i$  (this is the condition Theorem 5.2(2)), for each  $i \geq n$ , there is  $R_i > d_0$  such that  $y_i$  is a torsion element in  $K_0^{\Gamma_i}(P_{R_i}(\Gamma))$ . By Proposition 2.7, one can choose  $R_i$  independent of  $i$ , denoted by  $R$ . By Lemma 5.5 applied to  $(i_{R,d_0})_*(x)$ , there is  $d > R$  such that

$$ch_j((i_{d,d_0})_*(x)) = 0$$

for all  $j \geq 1$ . From Lemma 4.11, and the fact  $\mu_{max}(x) = 0$ , by increasing  $d$ , we also have

$$ch_0((i_{d,d_0})_*(x)) = 0 \in H_0(P_d(X), \mathbb{R}),$$

so we have

$$ch((i_{d,d_0})_*(x)) = 0 \in \bigoplus_{i=0}^{\infty} H_{2i}(P_d(X), \mathbb{R})$$

as desired.  $\square$

**5.9.** Let  $E\Gamma$  be the classifying space of free  $\Gamma$ -actions. As in 4.16, we can write  $E\Gamma = \cup_{k=1}^{\infty} E_k\Gamma$ , where  $E_k\Gamma$  are locally finite  $\Gamma$ -subsimplicial complex of  $E\Gamma$ . Recall that  $\underline{E}\Gamma = \bigcup_{d=1}^{\infty} P_d(\Gamma)$  is the classifying space of proper  $\Gamma$ -actions. In particular, a free action is proper. For each  $E_k\Gamma$ , there is  $d(k)$  depending on  $k$ , and a  $\Gamma$ -equivariant map  $t_{d(k),k} : E_k\Gamma \rightarrow P_{d(k)}(\Gamma)$ . This map induces a map

$$(t_{d(k),k})_* : K_0^{\Gamma}(E_k\Gamma) \rightarrow K_0^{\Gamma}(P_{d(k)}(\Gamma)).$$

Passing to inductive limit, we obtain a map

$$t : \lim_{k \rightarrow \infty} K_0^{\Gamma}(E_k\Gamma) \rightarrow \lim_{d \rightarrow \infty} K_0^{\Gamma}(P_d(\Gamma))$$

which relates to the two Baum-Connes maps as follows

$$\begin{array}{ccc} \lim_{k \rightarrow \infty} K_0^{\Gamma}(E_k\Gamma) & \xrightarrow{t} & \lim_{d \rightarrow \infty} K_0^{\Gamma}(P_d(\Gamma)) \\ & \searrow \mu & \swarrow \mu \\ & K_0(C_{\max}^*(\Gamma)) & \end{array}$$

The map  $E_k\Gamma \rightarrow P_{d(k)}(\Gamma)$  also induces a sequence of maps

$$K_0^{\Gamma_n}(E_k\Gamma) \rightarrow K_0^{\Gamma_n}(P_{d(k)}(\Gamma)),$$

$n = 1, 2, 3, \dots$ , which give a homomorphism  $\pi$  from

$$\lim_{k \rightarrow \infty} \left( \prod_{n=1}^{\infty} K_0^{\Gamma_n}(E_k\Gamma) / \bigoplus_{n=1}^{\infty} K_0^{\Gamma_n}(E_k\Gamma) \right)$$

to

$$\lim_{d \rightarrow \infty} \left( \prod_{n=1}^{\infty} K_0^{\Gamma_n}(P_d(\Gamma)) / \bigoplus_{n=1}^{\infty} K_0^{\Gamma_n}(P_d(\Gamma)) \right).$$

**Lemma 5.10.**  $\pi$  is an isomorphism.

**Proof.** We shall construct a commutative diagram:

$$\begin{array}{ccccc} \prod_{n=1}^{\infty} K_0^{\Gamma_n}(E_{k_1}\Gamma) / \bigoplus_{n=1}^{\infty} K_0^{\Gamma_n}(E_{k_1}\Gamma) & \xrightarrow{(i_{k_2, k_1})_*} & \prod_{n=1}^{\infty} K_0^{\Gamma_n}(E_{k_2}\Gamma) / \bigoplus_{n=1}^{\infty} K_0^{\Gamma_n}(E_{k_2}\Gamma) & \longrightarrow & \cdots \\ \downarrow (t_{d_1, k_1})_* & \nearrow (s_{k_2, d_1})_* & \downarrow (t_{d_2, k_2})_* & \nearrow & \downarrow \\ \prod_{n=1}^{\infty} K_0^{\Gamma_n}(P_{d_1}(\Gamma)) / \bigoplus_{n=1}^{\infty} K_0^{\Gamma_n}(P_{d_1}(\Gamma)) & \xrightarrow{(i_{d_2, d_1})_*} & \prod_{n=1}^{\infty} K_0^{\Gamma_n}(P_{d_2}(\Gamma)) / \bigoplus_{n=1}^{\infty} K_0^{\Gamma_n}(P_{d_2}(\Gamma)) & \longrightarrow & \cdots \end{array}$$

where  $k_1 \leq k_2 \leq \dots$  and  $d_1 \leq d_2 \leq \dots$  will be chosen in the next paragraph,  $i_{k_2, k_1} : E_{k_1}\Gamma \rightarrow E_{k_2}\Gamma$  and  $i_{d_2, d_1} : P_{d_1}(\Gamma) \rightarrow P_{d_2}(\Gamma)$  are the standard embeddings, and  $t_{d_1, k_1} : E_{k_1}\Gamma \rightarrow P_{d_1}(\Gamma)$  is given in 5.9 as  $t_{d(k), k}$ . In the following, we shall construct  $s_{k_2, d_1} : P_{d_1}(\Gamma) \rightarrow E_{k_2}\Gamma$  which will be  $\Gamma_n$ -equivariant for  $n$  large enough.

Let  $k_1 = 1$  and  $d_1 = d(k_1)$  as in 5.9. In such a way, we obtain  $(t_{d_1, k_1})_*$  as in the diagram. For such  $d_1$ , choose  $n_1$  such that

$$d(\gamma, e) > 2d_1, \quad \forall \gamma \in \Gamma_{n_1}.$$

Then  $\Gamma_{n_1}$  acts freely on  $P_{d_1}(\Gamma)$ . Also,  $E\Gamma = \cup_{k=1}^{\infty} E_k\Gamma$  can be regarded as the classifying space for free  $\Gamma_{n_1}$ -actions. Therefore, there is a  $k'_2 > k_1$  and a  $\Gamma_{n_1}$  equivariant map

$$s_{k'_2, d_1} : P_{d_1}(\Gamma) \rightarrow E_{k'_2}\Gamma.$$

Consider two  $\Gamma_{n_1}$  equivariant maps  $i_{k'_2, k_1}$  and  $s_{k'_2, d_1} \circ t_{d_1, k_1}$ . Since  $E\Gamma = \cup_{k=1}^{\infty} E_k\Gamma$  is also the classifying space for free  $\Gamma_{n_1}$ -actions, by universality, there exists  $k_2 > k'_2$  such that, after composition with  $i_{k_2, k'_2}$ , the above two maps are  $\Gamma_{n_1}$ -homotopic to each other. Let  $s_{k_2, d_1} = i_{k_2, k'_2} \circ s_{k'_2, d_1}$ , we obtain the following commuting diagram:

$$\begin{array}{ccc} K_0^{\Gamma_i}(E_{k_1}\Gamma) & \xrightarrow{(i_{k_2, k_1})^*} & K_0^{\Gamma_i}(E_{k_2}\Gamma) \\ (t_{d_1, k_1})^* \downarrow & \nearrow (s_{k_2, d_1})^* & \\ K_0^{\Gamma_i}(P_{d_1}(\Gamma)) & & \end{array}$$

for each  $i \geq n_1$ . Hence, we obtain the first piece of the desired diagram by passing to direct product. Let  $d'_2 = d(k_2)$  and consider the maps  $t_{d'_2, k_2} \circ s_{k_2, d_1}$  and  $i_{d'_2, d_1} : P_{d_1}(\Gamma) \rightarrow P_{d'_2}(\Gamma)$ . Again  $\cup_{d=1}^{\infty} P_d(\Gamma)$  is the classifying space for proper  $\Gamma_{n_1}$ -actions. By universality, there is  $d_2 > d'_2$  such that  $i_{d_2, d'_2} \circ t_{d'_2, k_2} \circ s_{k_2, d_1}$  and  $i_{d_2, d'_2} \circ i_{d'_2, d_1} = i_{d_2, d_1}$  are  $\Gamma_{n_1}$  homotopic to each other. Let

$$t_{d_2, k_2} = i_{d_2, d'_2} \circ t_{d'_2, k_2}.$$

We have the following diagram:

$$\begin{array}{ccc} & & K_0^{\Gamma_i}(E_{k_2}\Gamma) \\ & \nearrow (s_{k_2, d_1})^* & \downarrow (t_{d_2, k_2})^* \\ K_0^{\Gamma_i}(P_{d_1}(\Gamma)) & \xrightarrow{(i_{d_2, d_1})^*} & K_0^{\Gamma_i}(P_{d_2}(\Gamma)) \end{array}$$

for  $i \geq n_1$ . Passing to direct product, we obtain the second piece of the desired diagram. Let  $n_2$  be such that  $d(\gamma, e) > 2d_2$  for all  $\gamma \in \Gamma_{n_2}$ . Then  $\Gamma_{n_2}$  acts freely on  $P_{d_2}(\Gamma)$ , and we can repeat the above procedure with  $n_2$  in the place of  $n_1$  to obtain the next two diagrams. The whole diagram can be constructed inductively. The fact that  $\pi$  is an isomorphism follows from the commuting diagram.  $\square$

**5.11.** The forgetful map  $f_i : K_0^{\Gamma}(E_k\Gamma) \rightarrow K_0^{\Gamma_i}(E_k\Gamma)$  and  $f_i : K_0^{\Gamma}(P_d(\Gamma)) \rightarrow$

$K_0^{\Gamma_i}(P_d(\Gamma))$  give rise to the following commutative diagram

$$\begin{array}{ccc} \lim_{k \rightarrow \infty} K_0^{\Gamma}(E_k \Gamma) & \xrightarrow{\Pi_{i=1}^{\infty} f_i} & \lim_{k \rightarrow \infty} \left( \prod_{i=1}^{\infty} K_0^{\Gamma_i}(E_k \Gamma) / \bigoplus_{i=1}^{\infty} K_0^{\Gamma_i}(E_k \Gamma) \right) \\ \downarrow & & \downarrow \pi \\ \lim_{d \rightarrow \infty} K_0^{\Gamma}(P_d(\Gamma)) & \xrightarrow{\Pi_{i=1}^{\infty} f_i} & \lim_{d \rightarrow \infty} \left( \prod_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)) / \bigoplus_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)) \right). \end{array}$$

**5.12.** One can define a \*-homomorphism

$$\psi : C_{\max}^*(\Gamma) \otimes \mathcal{K}(H) \rightarrow C_{\max}^*(X)$$

as below. First, note that  $C_{alg}^*(\Gamma) \subset \mathcal{B}(\ell^2(\Gamma))$  is generated by the translations  $\gamma \xi(x) = \xi(\gamma^{-1}x)$ , where  $\gamma \in \Gamma$  is considered as an element in  $C_{alg}^*(\Gamma)$ . For any  $\gamma \in \Gamma$ , we also define a translation on  $\bigoplus_{i=1}^{\infty} \ell^2(\Gamma/\Gamma_i)$  by:

$$(\gamma \eta)([x]) = \bigoplus_{i=1}^{\infty} \eta_i([\gamma^{-1}x]),$$

where  $\eta = \bigoplus_{i=1}^{\infty} \eta_i \in \bigoplus_{i=1}^{\infty} \ell^2(\Gamma/\Gamma_i)$ , and  $[x] \in \Gamma/\Gamma_n$  is a coset. We obtain a map  $C_{alg}^*(\Gamma) \otimes \mathcal{K}(H) \rightarrow C_{alg}^*(X) \subset C_{\max}^*(X)$ , which gives rise to a \*-homomorphism:

$$\psi : C_{\max}^*(\Gamma) \otimes \mathcal{K}(H) \rightarrow C_{\max}^*(X)$$

**5.13.** Let  $\theta \in K_0^{\Gamma}(P_d(\Gamma))$ . Then  $\theta$  can be represented by  $(L^2(P_d(\Gamma)) \otimes H, T)$ , where  $T$  is a  $\Gamma$ -invariant operator of finite propagation. Suppose the propagation of  $T$  is  $l$ . Let  $n = n(d, l)$  be the integer (depending on  $d$  and  $l$ ) such that  $d(\gamma, e) > 2l + 2d$  for  $\gamma \in \Gamma_n$ , and  $d_X(\Gamma/\Gamma_n, \Gamma/\Gamma_{n+1}) > 2l + 2d$ . Then for each  $i \geq n$ , one can define  $S_i \in \mathcal{B}(L^2(P_d(\Gamma)/\Gamma_i) \otimes H)$  by

$$(S_i)_{[x],[y]} = \begin{cases} T_{x,y}, & \text{if } d(x, y) \leq l, \quad x \in [x], \quad y \in [y], \\ 0, & \text{otherwise.} \end{cases}$$

Then

$$\left( \bigoplus_{i=n}^{\infty} \ell^2(\Gamma/\Gamma_i) \otimes H, \bigoplus_{i=n}^{\infty} S_i \right)$$



defines an element in  $K_0(P_d(\sqcup_{i=n}^{\infty} \Gamma/\Gamma_i)) \subseteq K_0(P_d(X))$ . Let us denote this element by  $\Psi(\theta) \in K_0(P_d(X))$ . Obviously, the map  $\theta \mapsto \Psi(\theta)$  depends on the choice of the integer  $n$ . However, the composition

$$\alpha \circ \Psi : K_0^{\Gamma}(P_d(\Gamma)) \longrightarrow \prod_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)) / \bigoplus_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma))$$

does not depend on the choice of  $n$ , and  $\alpha \circ \Psi = \prod_{i=1}^{\infty} f_i$ , where

$$f_i : K_0^{\Gamma}(P_d(\Gamma)) \longrightarrow K_0^{\Gamma_i}(P_d(\Gamma))$$

is as in 5.11.

**5.14.** Note that  $\mathcal{K}(\oplus_{i=1}^{\infty} \ell^2(\Gamma/\Gamma_i) \otimes H)$  is an ideal of  $C_{\max}^*(X)$ . Let  $H_1 = \oplus_{i=1}^{\infty} \ell^2(\Gamma/\Gamma_i) \otimes H$ . We have the following short exact sequence

$$0 \rightarrow \mathcal{K}(H_1) \rightarrow C_{\max}^*(X) \rightarrow C_{\max}^*(X)/\mathcal{K}(H_1) \rightarrow 0.$$

We shall prove that  $i_* : K_0(\mathcal{K}(H_1)) \rightarrow K_0(C_{\max}^*(X))$  is injective. Let  $Z = \sqcup_{i=1}^n \Gamma/\Gamma_i$ . Then

$$\mathcal{K}(\ell^2(Z) \otimes H) \subseteq \mathcal{K}(\oplus_{i=1}^{\infty} \ell^2(\Gamma/\Gamma_i) \otimes H) \subseteq C_{\max}^*(X).$$

Let  $i : \mathcal{K}(\ell^2(Z) \otimes H) \rightarrow C_{\max}^*(X)$ . We have the following lemma.

**Lemma 5.15.**  $i_* : K_0(\mathcal{K}(\ell^2(Z) \otimes H)) \rightarrow K_0(C_{\max}^*(X))$  is injective.

**Proof.** Let  $\pi : C_{\max}^*(X) \rightarrow C_r^*(X)$  be the quotient map. We only need to prove that

$$\pi_* \circ i_* : K_0(\mathcal{B}(\ell^2(Z)) \otimes \mathcal{K}(H)) \rightarrow K_0(C_r^*(X))$$

is injective. Note that  $\mathcal{B}(\ell^2(Z)) \otimes \mathcal{K}(H) \cong \mathcal{K}(\ell^2(Z) \otimes H)$  since  $Z$  is a finite set. Let  $p_0, p_1$  be two projections in  $\mathcal{B}(\ell^2(Z)) \otimes \mathcal{K}(H)$ . Then  $p_0, p_1$  can be considered as projections in

$$C_{alg}^*(X) \subseteq C_r^*(X) \subseteq \mathcal{B}(\ell^2(X) \otimes H).$$

We have  $\pi_* \circ i_*([p_0]) = \pi_* \circ i_*([p_1]) \in K_0(C_r^*(X))$ . This implies that  $p_0 \sim_h p_1$  in  $C_r^*(X)$ . Let  $p(t)$  be the homotopy path of projections with  $p(0) = p_0$  and  $p(1) = p_1$ . Choose

$$0 = t_0 < t_1 < t_2 < \cdots < t_m = 1$$

such that  $\|p(t) - p(s)\| \leq \frac{1}{100}$  if  $t, s \in [t_{k-1}, t_k]$ .

There exist self adjoint elements  $q(t_i) \in C_{alg}^*(X)$  such that  $q(0) = p(0)$  and  $q(1) = p(1)$  and

$$\|q(t_i) - p(t_i)\| \leq \frac{1}{100}, \quad \forall i \in \{0, 1, \dots, m\}.$$

Define

$$q(t) = \frac{t - t_{k-1}}{t_k - t_{k-1}} q(t_k) + \frac{t_k - t}{t_k - t_{k-1}} q(t_{k-1}), \quad \forall t_{k-1} \leq t \leq t_k.$$

Then

$$\|q(t) - p(t)\| \leq \frac{5}{100}, \quad \forall 0 \leq t \leq 1.$$

Each  $q(t_k)$  has finite propagation, so there is  $l > 0$  such that all  $q(t_k)$  have propagation at most  $l$ . Hence, all  $q(t)$  have propagation at most  $l$ , since they are linear combinations of elements of propagation at most  $l$ . Let  $m$  be the least integer such that

$$d(\Gamma/\Gamma_m, \Gamma/\Gamma_{m+1}) > 2l.$$

Let  $W = \sqcup_{i=1}^{m-1} \Gamma/\Gamma_i$  and  $Y = \sqcup_{i=m}^{\infty} \Gamma/\Gamma_i$ . Then  $d(W, Y) > 2l$ . Hence,  $\ell^2(W) \otimes H$  and  $\ell^2(Y) \otimes H$  are reducing subspaces for each  $q(t)$ , that is,

$$q(t) \in \left( \mathcal{B}(\ell^2(W)) \otimes \mathcal{K}(H) \right) \bigoplus \left( \mathcal{B}(\ell^2(Y) \otimes H) \cap C_{alg}^*(X) \right).$$

Note that the spectrum of  $q(t)$  is contained in  $[-5/100, 5/100] \cup [1 - 5/100, 1 + 5/100]$ . Let

$$\chi : [-5/100, 5/100] \cup [1 - 5/100, 1 + 5/100] \rightarrow \{0, 1\}$$

be the function sending  $[-5/100, 5/100]$  to 0 and  $[1 - 5/100, 1 + 5/100]$  to 1. Then  $p'(t) = \chi(q(t)) \in \mathcal{B}(\ell^2(W)) \otimes \mathcal{K}(H)$  and  $p'(t)$  is a path of projections connecting  $p_0$  and  $p_1$ . Hence,  $[p_0] = [p_1] \in K_0(\mathcal{B}(\ell^2(W)) \otimes \mathcal{K}(H))$ . Note that  $Z \subset W$ ;  $Z$  and  $W$  are finite. Therefore,

$$K_0(\mathcal{B}(\ell^2(Z)) \otimes \mathcal{K}(H)) \cong K_0(\mathcal{B}(\ell^2(W)) \otimes \mathcal{K}(H)) \cong \mathbb{Z},$$

and the isomorphism is induced by the inclusion  $\mathcal{B}(\ell^2(Z)) \rightarrow \mathcal{B}(\ell^2(W))$ . So  $[p_0] = [p_1] \in K_0(\mathcal{B}(\ell^2(Z)) \otimes \mathcal{K}(H))$  as desired.  $\square$

**5.16.** From 5.14 and 5.15, we have the following exact sequence

$$0 \rightarrow K_0(\mathcal{K}(H_1)) \rightarrow K_0(C_{\max}^*(X)) \rightarrow K_0(C_{\max}^*(X)/\mathcal{K}(H_1)) \rightarrow 0.$$

Denote the above quotient map by  $\pi$ . Recall that  $\mu_{max} : K_0(P_d(X)) \rightarrow K_0(C_{\max}^*(X))$  is the assembly map defined in §4. Again the map  $\theta \rightarrow \mu_{max}(\Psi(\theta))$  depends on the choice of  $n$  in 5.13. However, the homomorphism

$$\pi \circ \mu_{max} \circ \Psi : K_0^\Gamma(P_d(\Gamma)) \rightarrow K_0(C_{\max}^*(X)/\mathcal{K}(H))$$

does not depend on the choice of  $n$ . Furthermore, we have

$$\pi \circ \mu_{max} \circ \Psi = \pi \circ \psi_* \circ \mu,$$

where  $\mu : K_0^\Gamma(P_d(\Gamma)) \rightarrow K_0(C_{\max}^*(\Gamma) \otimes \mathcal{K}(H))$  is the Baum-Connes map and  $\psi_* : K_0(C_{\max}^*(\Gamma) \otimes \mathcal{K}(H)) \rightarrow K_0(C_{\max}^*(X))$  is induced by  $\psi$  defined in 5.12.

**5.17.** Since  $\Gamma$  acts on  $E_k\Gamma$  freely and  $\Gamma_n$  are normal subgroups of  $\Gamma$ ,  $E_k\Gamma/\Gamma_n$  is a finite cover over  $E_k\Gamma/\Gamma$ . Therefore,

$$f_n : K_0^\Gamma(E_k\Gamma) \rightarrow K_0^{\Gamma_n}(E_k\Gamma)$$

is rationally injective. In particular, for any  $\theta \in K_0^\Gamma(E_k\Gamma)$ , if  $f_n(\theta)$  is a torsion element, then  $\theta$  is a torsion element.

### 5.18. Proof of (1) of Theorem 5.2.

Note that for every subgroup  $\Gamma_n$  ( $n = 1, 2, \dots$ ) the box metric space  $X(\Gamma_n) = \bigsqcup_{i=n+1}^\infty \Gamma_n/\Gamma_i$  is coarsely equivalent to  $X(\Gamma) = \bigsqcup_{i=1}^\infty \Gamma/\Gamma_i$ . Hence,

the Coarse Geometric Novikov Conjecture for the box metric space  $X(\Gamma)$  implies the Coarse Geometric Novikov Conjecture for the box metric space  $X(\Gamma_n)$ . So, it suffices to prove that the Coarse Geometric Novikov Conjecture for  $X(\Gamma)$  implies that

$$\mu : \lim_{k \rightarrow \infty} K_0^\Gamma(E_k \Gamma) \rightarrow K_0(C_{\max}^*(\Gamma))$$

is rationally injective.

In this proof,  $X(\Gamma)$  will be denoted by  $X$ . Let  $\theta \in K_0^\Gamma(E_k \Gamma)$  be such that  $\mu(\theta) = 0$ . We need to prove that  $\theta$  is a torsion element in  $\lim_{k \rightarrow \infty} K_0^\Gamma(E_k \Gamma)$ . Let  $\theta' = t(\theta) \in K_0^\Gamma(P_d(\Gamma))$  for certain  $d$ , where  $t$  is defined as in 5.9. Then  $\mu(\theta') = \mu(\theta) = 0$  in  $K_0(C_{\max}^*(\Gamma))$ . Let  $\eta = \Psi(\theta') \in K_0(P_d(X))$ . Then

$$\pi \circ \mu_{\max}(\eta) = \pi \circ \psi_* \circ \mu(\theta') = 0$$

in  $K_0(C_{\max}^*(X)/\mathcal{K}(H))$ . One can choose an element  $\eta' \in K_0(P_d(\Gamma/\Gamma_1))$  such that  $\mu_{\max}(i_*(\eta')) = \mu_{\max}(\eta)$ , where  $i_* : K_0(P_d(\Gamma/\Gamma_1)) \rightarrow K_0(X)$  is induced by the embedding  $i : P_d(\Gamma/\Gamma_1) \rightarrow P_d(X)$ . Hence,  $\mu_{\max}(\eta - i_*(\eta')) = 0$ . By the coarse geometric Novikov conjecture for  $X$ , there is  $d_1 > d$  such that

$$ch(\eta - i_*(\eta')) = 0 \in \bigoplus_{i=0}^{\infty} H_{2i}(P_{d_1}(X), \mathbb{R}),$$

where we use the same notation for  $\eta$  and  $(i_{d_1, d_2})_*(\eta)$ . We assume that  $\eta - i_*(\eta') \in K_0(P_{d_1}(X))$  is represented by an operator with propagation  $l$ , and let  $m$  be the integer satisfying

$$d(\gamma, e) > 2l + 2d_1, \quad \forall \gamma \in \Gamma/\Gamma_m$$

and

$$d_X(\Gamma/\Gamma_m, \Gamma/\Gamma_{m+1}) > 2l + 2d_1.$$

Then  $\eta - i_*(\eta')$  defines  $\eta_m, \eta_{m+1}, \dots$ , where  $\eta_i \in K_0(P_{d_1}(\Gamma)/\Gamma_i)$  and

$$ch(\eta_i) = 0 \in \bigoplus_{i=0}^{\infty} H_{2i}(P_{d_1}(\Gamma)/\Gamma_i, \mathbb{R}),$$

for every  $i \geq m$ . Hence,  $\eta_i$  is a torsion element. Let  $\theta_i \in K_0^{\Gamma_i}(P_{d_1}(\Gamma))$  be the corresponding element of  $\eta_i \in K_0(P_{d_1}(\Gamma)/\Gamma_i)$  under the isomorphism  $K_0^{\Gamma_i}(P_{d_1}(\Gamma)) \cong K_0(P_{d_1}(\Gamma)/\Gamma_i)$  (note that  $\Gamma_i$  acts freely on  $P_{d_1}(\Gamma)$  for  $i \geq m$ ). Then

$$\begin{aligned} [(0, \dots, 0, \theta_m, \theta_{m+1}, \dots)] &= \alpha((0, \dots, 0, \eta_m, \eta_{m+1}, \dots)) \\ &\in \prod_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)) / \bigoplus_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)). \end{aligned}$$

Note that  $\alpha(i_*(\eta')) = 0$ . So

$$\alpha(\eta) = [(0, \dots, 0, \theta_m, \theta_{m+1}, \dots)].$$

Hence,

$$\begin{aligned} (\prod_{i=1}^{\infty} f_i)(\theta) &= [(0, \dots, 0, \theta_m, \theta_{m+1}, \dots)] \\ &\in \prod_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)) / \bigoplus_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)) \end{aligned}$$

with each  $\theta_i$  being a torsion element. By using the following commutative diagram

$$\begin{array}{ccc} \lim_{k \rightarrow \infty} K_0^{\Gamma}(E_k \Gamma) & \xrightarrow{\prod_{i=1}^{\infty} f_i} & \lim_{k \rightarrow \infty} \left( \prod_{i=1}^{\infty} K_0^{\Gamma_i}(E_k \Gamma) / \bigoplus_{i=1}^{\infty} K_0^{\Gamma_i}(E_k \Gamma) \right) \\ \downarrow & & \downarrow \pi \\ \lim_{d \rightarrow \infty} K_0^{\Gamma}(P_d(\Gamma)) & \xrightarrow{\prod_{i=1}^{\infty} f_i} & \lim_{d \rightarrow \infty} \left( \prod_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)) / \bigoplus_{i=1}^{\infty} K_0^{\Gamma_i}(P_d(\Gamma)) \right). \end{array}$$

and the isomorphism of  $\pi$ , we know that for  $k_1$  large enough and  $n$  large enough,  $f_n(\theta)$  is a torsion element in  $K_0^{\Gamma_n}(E_{k_1} \Gamma)$ . By 5.17.,  $\theta$  is a torsion element in  $K_0^{\Gamma}(E_{k_1} \Gamma)$ . This completes the proof.  $\square$

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