A REMARK ON APPROXIMATION WITH POLYNOMIALS AND GREEDY BASES

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ABSTRACT. We investigate properties of the *m*-th error of approximation by polynomials with constant coefficients $\mathscr{D}_m(x)$ and with modulus-constant coefficients $\mathscr{D}_m^*(x)$ introduced by Berná and Blasco (2016) to study greedy bases in Banach spaces. We characterize when $\liminf_m \mathscr{D}_m(x)$ and $\liminf_m \mathscr{D}_m^*(x)$ are equivalent to ||x|| in terms of the democracy and superdemocracy functions, and provide sufficient conditions ensuring that $\lim_m \mathscr{D}_m^*(x) = \lim_m \mathscr{D}_m(x) = ||x||$, extending previous very particular results.

1. INTRODUCTION

Let $(\mathbb{X}, \|\cdot\|)$ be a real Banach space and let $\mathscr{B} = (e_n)_{n=1}^{\infty}$ be a semi-normalized (Schauder) basis of \mathbb{X} with biorthogonal functionals $(e_n^*)_{n=1}^{\infty}$, that is:

- (i) There exist a, b > 0 such that $a \le ||e_n||, ||e_n^*|| \le b$ for every $n \in \mathbb{N}$,
- (ii) $e_k^*(e_n) = \delta_{kn}$ for every $k, n \in \mathbb{N}$,
- (iii) The sequence of projections $P_m : \mathbb{X} \longrightarrow \mathbb{X}$ given by

$$P_m(x) = \sum_{n=1}^m e_n^*(x) e_n , \quad x \in \mathbb{X}$$

satisfy $\lim_n ||P_m(x) - x|| = 0$ for every $x \in X$. In this case, the *basis constant* of \mathscr{B} is

$$K_b:=\sup_{m\in\mathbb{N}}\|P_m\|<\infty.$$

We say that \mathscr{B} is *monotone* whether $K_b = 1$.

Along the paper we will refer to every such \mathscr{B} simply as a *basis*. Of course, as *m* increases $P_m(x)$ offers a good approximation of *x* by linear combinations of *m*-elements of the basis, but it is natural to ask whether a suitable (and systematic) rearrangement can provide better convergence rates. A natural proposal is the *Thresholding Greedy Algorithm* (TGA) introduced by S. V. Konyagin and V. N. Temlyakov ([10]): given $x \in \mathbb{X}$ we first consider the rearranging function $\rho : \mathbb{N} \longrightarrow \mathbb{N}$ satisfying that if j < k then either $|e_{\rho(j)}^*(x)| > |e_{\rho(k)}^*(x)|$ or $|e_{\rho(j)}^*(x)| = |e_{\rho(k)}^*(x)|$ and $\rho(j) < \rho(k)$. The *m-th*

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greedy sum of x is then

$$\mathscr{G}_{m}(x) = \sum_{j=1}^{m} e_{\rho(j)}^{*}(x) e_{\rho(j)} = \sum_{k \in \Lambda_{m}(x)} e_{k}^{*}(x) e_{k},$$

where $\Lambda_m(x) = \{\rho(n) : n \le m\}$ is the *greedy set* of *x* with cardinality *m*. Related to this, S. V. Konyagin and V. N. Temlyakov defined in [10] the concepts of *greedy* and *quasi-greedy* bases.

Definition 1.1. We say that \mathscr{B} is *quasi-greedy* if there exists a positive constant C_q such that

$$||x - \mathscr{G}_m(x)|| \le C_q ||x||, \ \forall x \in \mathbb{X}, \forall m \in \mathbb{N}.$$

P. Wojtaszczyk proved in [12] that quasi-greediness is equivalent to the convergence of the algorithm, that is, \mathcal{B} is quasi-greedy if and only if

$$\lim_{m \to +\infty} \|x - \mathscr{G}_m(x)\| = 0, \ \forall x \in \mathbb{X}.$$

Definition 1.2. We say that \mathscr{B} is greedy if there exists a positive constant C such that

$$\|x - \mathscr{G}_m(x)\| \le C\sigma_m(x), \ \forall x \in \mathbb{X}, \forall m \in \mathbb{N},$$
(1)

where

$$\sigma_m(x,\mathscr{B})_{\mathbb{X}} = \sigma_m(x) := \inf \left\{ \left\| x - \sum_{n \in A} a_n e_n \right\| : a_n \in \mathbb{F}, A \subset \mathbb{N}, |A| = m \right\}.$$

Konyagin and Temlykov [10] showed that, although every greedy basis is quasigreedy, the converse does not holds (see also [1, Section 10.2]). They also characterize greedy bases as those which are unconditional and democratic. To define the last notion we have to introduce some notation. For each finite subset $A \subset \mathbb{N}$ and every scalar sequence $\varepsilon = (\varepsilon_n)$ with $|\varepsilon_n| = 1$ for each $n \in \mathbb{N}$ (from now on we will write $|\varepsilon| = 1$, for simplicity) let us denote

$$\mathbf{1}_A := \sum_{n \in A} e_n$$
 and $\mathbf{1}_{\mathcal{E}A} := \sum_{n \in A} \mathcal{E}_n e_n$.

As usual, |A| stands for the cardinal of A. We then define the *democracy functions* as

$$h_l(m) = \inf_{|A|=m, |\mathcal{E}|=1} \|\mathbf{1}_{\mathcal{E}A}\|$$
, $h_r(m) = \sup_{|A|=m, |\mathcal{E}|=1} \|\mathbf{1}_{\mathcal{E}A}\|$ $(m \in \mathbb{N})$.

and the superdemocracy functions as

$$h_l^*(m) = \inf_{|A|=m, |\varepsilon|=1} \|\mathbf{1}_{\varepsilon A}\|$$
, $h_r^*(m) = \sup_{|A|=m, |\varepsilon|=1} \|\mathbf{1}_{\varepsilon A}\|$ $(m \in \mathbb{N})$.

Definition 1.3. We say that \mathscr{B} is *democratic* (resp. *superdemocratic*) if there exists C > 0 such that $h_r(m) \leq Ch_l(m)$ (resp. $h_r^*(m) \leq Ch_l^*(m)$) for every $m \in \mathbb{N}$.

Another characterization of greedy bases was more recently provided by Ó. Blasco and the first author by means of the *best m-th error in the approximation using polynomials of constant (resp. modulus-constant) coefficients*:

$$\mathcal{D}_m(x,\mathscr{B})_{\mathbb{X}} = \mathcal{D}_m(x) = \inf\{\|x - \alpha \mathbf{1}_A\| : \alpha \in \mathbb{R}, A \subset \mathbb{N}, |A| = m\}$$
$$\mathcal{D}_m^*(x,\mathscr{B})_{\mathbb{X}} = \mathcal{D}_m^*(x) = \inf\{\|x - \alpha \mathbf{1}_{\mathcal{E}A}\| : \alpha \in \mathbb{R}, A \subset \mathbb{N}, |A| = m, |\mathcal{E}| = 1\}$$

Theorem 1.4. [2, Corollary 1.8] Let \mathscr{B} be a basis of a Banach space \mathbb{X} . The following assertions are equivalent:

- (i) *B* is greedy;
- (ii) There is C > 0 such that $||x \mathscr{G}_m(x)|| \le C \mathscr{D}_m(x)$ for every $x \in \mathbb{X}$ and $m \in \mathbb{N}$.

(iii) There is C > 0 such that $||x - \mathscr{G}_m(x)|| \le C \mathscr{D}_m^*(x)$ for every $x \in \mathbb{X}$ and $m \in \mathbb{N}$.

The striking feature of this theorem compared to (1) is that, while $\lim_m \sigma_m(x) = 0$ for every $x \in \mathbb{X}$, the terms $\mathscr{D}_m^*(x)$ and $\mathscr{D}_m(x)$ do not necessarily converge to zero if $x \neq 0$. Indeed, we have the following examples:

 \triangleright [2, Theorem 3.2],[3, Theorem 1.4] If $\mathbb{X} = \mathbb{H}$ is a (separable) Hilbert space and \mathscr{B} is an orthonormal basis, then

$$\lim_{n \to \infty} \mathscr{D}_m(x) = \lim_{m \to \infty} \mathscr{D}_m^*(x) = \|x\|, \quad \text{for every } x \in \mathbb{H}.$$
 (2)

▷ [2, Proposition 3.4] If $X = \ell^p$ (1 \mathscr{B} is the canonical basis, then

$$\lim_{m \to +\infty} \mathscr{D}_m(\mathbf{1}_B) = \lim_{m \to +\infty} \mathscr{D}_m^*(\mathbf{1}_B) = \|\mathbf{1}_B\|, \quad \text{for every finite } B \subset \mathbb{N}.$$
 (3)

In the present paper, we aim to delve into this aspect. Let us briefly explain the structure of the paper. In Section 2 we show that $\mathscr{D}_m^*(x)$ and $\mathscr{D}_m(x)$ do not converge to zero as $m \to +\infty$ for any $x \neq 0$. In Section 3 we prove the main result of the paper (Theorem 3.2), namely a characterization of those bases \mathscr{B} for which there is a positive constant c > 0 such that

$$c \|x\| \le \liminf_{m \to +\infty} \mathscr{D}_m^*(x) \le \limsup_{m \to +\infty} \mathscr{D}_m^*(x) \le \|x\|$$
 for every $x \in \mathbb{X}$,

in terms of the democracy and superdemocracy functions. We also provide a quite general condition ensuring that

$$\lim_{m \to +\infty} \mathscr{D}_m^*(x) = \|x\| \quad \text{for every } x \in \mathbb{X}.$$

In Section 4 we deal with the notion of almost-greedy bases. We study how this property can be also characterized in terms of polynomials of constant or modulus-constant coefficients, extending a recent result of S. J. Dilworth and D. Khurana in [6].

Let us point out [1] as our basic reference for notation and fundamental results on greedy basis.

2. The limit of errors
$$\mathscr{D}_m^*(x)$$
 and $\mathscr{D}_m(x)$ is nonzero

Since $\mathscr{D}_m^*(x) \leq \mathscr{D}_m(x) \leq ||x||$ for every $m \in \mathbb{N}$ and every $x \in \mathbb{X}$, it is only necessary to study lower bounds of $\mathscr{D}_m^*(x)$.

Proposition 2.1. Let $\mathscr{B} = (e_n)_{n=1}^{\infty}$ be a basis of a Banach space \mathbb{X} . Then, for every $x \in \mathbb{X}$

$$\frac{1}{4K_b}\sup_{n\in\mathbb{N}}|e_n^*(x)|\leq \liminf_{m\to\infty}\mathscr{D}_m^*(x)\,.$$

Proof. Let $x \in \mathbb{X}$. Note that for every finite set $A \subset \mathbb{N}$, $\alpha \in \mathbb{R}$ and $|\varepsilon| = 1$ it holds that

$$\|x - \alpha \mathbf{1}_{\varepsilon A}\| \ge \sup_{n \in \mathbb{N}} \frac{|e_n^*(x - \alpha \mathbf{1}_{\eta A})|}{\|e_n^*\|} \ge \frac{\sup_{n \in \mathbb{N}} |e_n^*(x - \alpha \mathbf{1}_{\varepsilon A})|}{2K_b} \ge \frac{\sup_{n \in \mathbb{N}} \left||e_n^*(x)| - |\alpha|\right|}{2K_b}$$

Let us also fix $\delta > 0$ and $n_0 \in \mathbb{N}$ with the property that

 $|e_n^*(x)| \leq \delta$ for every $n \geq n_0$.

If *A* satisfies $|A| > n_0$, then there is $j \in A$ with $j > n_0$, and so

$$||x - \alpha \mathbf{1}_{\epsilon A}|| \geq \frac{|e_j^*(x) - |\alpha||}{2K_b} \geq \frac{||\alpha| - \delta|}{2K_b}.$$

In particular, combining both lower estimations we get that for $|A| > n_0$

$$\|x - \alpha \mathbf{1}_{\varepsilon A}\| \geq \frac{||\alpha| - \delta| + \sup_{n \in \mathbb{N}} ||e_n^*(x)| - |\alpha||}{4K_b} \geq \sup_{n \in \mathbb{N}} \frac{|e_n^*(x)| - \delta}{4K_b}.$$

Therefore, for $m > n_0$

$$\mathscr{D}_m^*(x) \ge \sup_{n \in \mathbb{N}} \frac{|e_n^*(x)| - \delta}{4K_b} \,.$$

3. MAIN RESULT: EQUIVALENCE WITH THE NORM

The issue of when $\liminf_m \mathscr{D}_m^*(x)$ (resp. $\liminf_m \mathscr{D}_m(x)$) is equivalent to ||x|| is going to be determined by the behaviour of the superdemocracy functions (resp. democracy functions), see Section 1 for the definitions. Along the present section we are going to focus on proving the results for superdemocracy case, namely for $h_l^*(m)$, $h_r^*(m)$ and the error $\mathscr{D}_m^*(x)$. The arguments for the case $h_l(m)$, h_r and the error $\mathscr{D}_m(x)$ are completely analogous. First of all, we recall a trivial estimates of the superdemocracy functions for any basis:

$$h_l^*(k) \leq K_b h_l^*(m), \quad h_r^*(k) \leq K_b h_r^*(m) \quad \text{for every } k \leq m.$$

These relations together with the trivial inequality $h_l^*(m) \le h_r^*(m)$ ($m \in \mathbb{N}$) yield that there are three possible cases:

- $\triangleright h_l^*(m)$ and $h_r^*(m)$ are bounded.
- $\triangleright h_l^*(m)$ is bounded and $h_r^*(m) \to +\infty$ as $m \to +\infty$.
- $\triangleright h_l^*(m), h_r^*(m) \to +\infty \text{ as } m \to +\infty.$

Definition 3.1. The functions $h_l^*(m)$ and $h_r^*(m)$ (resp. $h_l(m)$ and $h_r(m)$) are said to be *comparable* if they are both bounded or divergent to infinity.

The main result of the section is the following theorem.

Theorem 3.2. Let \mathscr{B} be a basis of a Banach space \mathbb{X} . The following assertions are equivalent:

(i) There is a positive constant c > 0 such that

$$c \|x\| \le \liminf_{m \to +\infty} \mathscr{D}_m^*(x) \le \limsup_{m \to +\infty} \mathscr{D}_m^*(x) \le \|x\| \quad \text{for every } x \in \mathbb{X}.$$

(ii) $h_l^*(m)$ and $h_r^*(m)$ are comparable.

Moreover, if \mathscr{B} is monotone and $h_l^*(m) \to +\infty$ as $m \to +\infty$, then

$$\lim_{m \to +\infty} \mathscr{D}_m^*(x) = \|x\|.$$
(4)

(The theorem also holds if we replace $\mathscr{D}_m^*(x)$, $h_l^*(m)$, $h_r^*(m)$ respectively by $\mathscr{D}_m(x)$, $h_l(m)$, $h_r(m)$.)

Before going into the proof let us make a few observations:

- ▷ From Theorem 3.2 we recover (2) and (3). Indeed, if \mathbb{H} is a (separable) Hilbert space and \mathscr{B} is an orthonormal basis of \mathbb{H} then $h_l(m) = h_l^*(m) = m^{1/2}$. On the other hand, for $\mathbb{X} = \ell_p$ with $1 \le p < \infty$ and \mathscr{B} is the canonical basis, it holds that $h_l(m) = h_l^*(m) = m^{1/p}$.
- ▷ For $\mathbb{X} = L_p[0,1]$ we have that the Haar basis \mathscr{B} is monotone (see [7, Theorem 5.18]) and satisfies $h_l^*(m) = h_l(m) \approx m^{1-1/p}$ for $1 \leq p < \infty$. Hence, it satisfies that $\lim_m \mathscr{D}_m^*(x) = \lim_m \mathscr{D}_m(x) = \|x\|$ for every $x \in \mathbb{X}$.

- ▷ If \mathscr{B} is superdemocratic (resp. democratic), then it satisfies Theorem 3.2.(ii) (resp. Theorem 3.2.(ii) for $h_r(m)$ and $h_l(m)$). However, there are easy examples showing that converse is not true. For instance, the canonical basis of $\ell^2 \oplus_1 \ell^4$ satisfies that $h_l(m) = h_l^*(m) \approx m^{1/4}$ and $h_r(m) = h_r^*(m) \approx m^{1/2}$.
- \triangleright Example of basis not satisfying Theorem 3.2.(ii): Let us consider $\mathbb{X} = \ell_1$ and let $\mathscr{B} = (\mathbf{x}_n)_{n=1}^{\infty}$ be the difference basis, which in terms of the canonical basis $(e_n)_{n=1}^{\infty}$ is given by

$$\mathbf{x}_1 = e_1, \quad \mathbf{x}_n = e_n - e_{n-1}, \quad n = 2, 3, \dots$$

By [4, Lemma 8.1], it holds that $h_l^*(m) = h_l(m) = 1$ and $h_r^*(m) = h_r(m) = 2m$.

▷ Example of basis satisfying $\lim_m \mathscr{D}_m(x) = ||x||$ for every $x \in \mathbb{X}$, but $\liminf_m \mathscr{D}^*(x)$ is not even equivalent to ||x||: Let $\mathbb{X} = \mathbf{c}$ be the space of convergent sequences and let $\mathscr{B} = (\mathbf{s}_n)_{n=1}^{\infty}$ be the summing basis, defined as

$$\mathbf{s}_n := (\underbrace{0,\ldots,0}_{n-1},1,1,\ldots), \quad n \in \mathbb{N}$$

By [4, Lemma 8.1] we know that $h_l^*(m) \approx 1$ and $h_r^*(m) \approx m$, so Theorem 3.2.(ii) does not hold. On the other hand, \mathscr{B} is monotone and $h_l(m) \approx h_r(m) \approx m$ by the same reference. Thus, $\lim_m \mathscr{D}_m(x) = ||x||$ for every $x \in \mathbb{X}$.

▷ Condition Theorem 3.2.(ii) is not preserved for dual bases: If $(e_n)_{n=1}^{\infty}$ is the canonical basis of ℓ_1 , let us consider the sequence $\mathbf{x}_n = e_n - (e_{2n+1} + e_{2n+2})/2$, $n \in \mathbb{N}$ and the space

$$\mathbb{X} := \overline{\operatorname{span}\{\mathbf{x}_n : n \in \mathbb{N}\}}^{\ell^1}$$

This is known as the *Lindenstrauss space* [8] and the sequence $\mathscr{B} = (\mathbf{x}_n)_{n=1}^{\infty}$ is actually a monotone basis for X (see [11, pg 457]). In [4, Section 8.2] it is shown that $h_l^*(m) \approx m$. On the other hand, in the same reference it is proved that the dual space X* with the corresponding dual basis \mathscr{B}^* satisfies $h_l^*(m) \approx 1$ and $h_r^*(m) \approx \ln(m)$.

3.1. Proof of the main result.

Proposition 3.3. Let \mathscr{B} be a basis of a Banach space X. Then,

$$\sup_{\substack{A \subset \mathbb{N} \\ finite'} |\eta| = 1} \liminf_{m \to +\infty} \mathscr{D}_m^*(\mathbf{1}_{\eta A}) \leq (1 + K_b) \liminf_{m \to +\infty} h_l^*(m) \leq \infty,$$
(5)

$$\sup_{\substack{A \subset \mathbb{N} \\ finite}} \liminf_{m \to +\infty} \mathscr{D}_m(\mathbf{1}_A) \leq (1+K_b) \liminf_{m \to +\infty} h_l(m) \leq \infty.$$
(6)

Proof. We explain the argument for (5), as the proof of (6) is completely analogous with the obvious replacements. Let us fix a finite set $A \subset \mathbb{N}$ and $\eta \in \{\pm 1\}^A$, and let us take $\lambda \in \mathbb{R}$ satisfying

$$\lambda < \liminf_{m \to +\infty} \mathscr{D}_m^*(\mathbf{1}_{\eta A}). \tag{7}$$

We can then find $m_0, n_0 \in \mathbb{N}$ with the following properties:

$$\triangleright \ \lambda \leq \|\mathbf{1}_{\eta A} - \alpha \mathbf{1}_{\varepsilon B}\| \text{ for every } \alpha \in \mathbb{R}, \ |\varepsilon| = 1 \text{ and } B \subset \mathbb{N} \text{ with } |B| \geq m_0,$$

$$\triangleright \ A \subset \{1, \ldots, n_0\}.$$

Let $C \subset \mathbb{N}$ be a finite set with $|C| \ge m_0 + n_0$. Then,

$$\mathbf{1}_{\varepsilon C} - P_{n_0}(\mathbf{1}_{\varepsilon C}) = \mathbf{1}_{\varepsilon C'}$$

where $C' := C \setminus \{1, ..., n_0\}$. Notice that $|C'| \ge m_0$, so in particular

$$\lambda \leq \|\mathbf{1}_{\eta A} - \mathbf{1}_{(\eta A) \cup (\varepsilon C')}\| = \|\mathbf{1}_{\varepsilon C'}\| \leq \|\operatorname{Id} - P_{n_0}\| \|\mathbf{1}_{\varepsilon C}\| \leq (1 + K_b) \|\mathbf{1}_{\varepsilon C}\|.$$

Thus, we have the relation

$$\lambda \leq (1+K_b) \liminf_{m \to +\infty} h_l^{\varepsilon}(m).$$

Taking supremums on λ according to (7) we conclude that

$$\liminf_{m \to +\infty} \mathscr{D}_m^*(\mathbf{1}_{\eta A}) \leq (1+K_b) \liminf_{m \to +\infty} h_l^{\varepsilon}(m).$$

Theorem 3.4. Let \mathscr{B} be a basis of a Banach space \mathbb{X} . Assume that there is a constant C > 0 satisfying

$$\sup_{n\in\mathbb{N}}h_r^*(n)\leq C\sup_{n\in\mathbb{N}}h_l^*(n)\leq\infty.$$

Then, for every $x \in \mathbb{X}$

$$\frac{1}{C+K_b(1+C)} \|x\| \le \liminf_m \mathscr{D}_m^*(x) \le \limsup_m \mathscr{D}_m^*(x) \le \|x\|.$$
(8)

Proof. Let us fix $x \in X$. We just have to show that the left hand-side of (8) holds. For, let $0 < \delta < 1$ and $m_0, n_0 \in \mathbb{N}$ such that

$$\|P_n(x) - x\| \leq \delta \|x\| \text{ for every } n \geq n_0,$$

$$h_r^*(n_0) \leq C(1 - \delta) h_l^*(m_0).$$

Given $\alpha \in \mathbb{R}$, $A \subset \mathbb{N}$ with $|A| \ge m_0 + n_0$ and $\varepsilon \in \{\pm 1\}^A$, we are going to establish two lower bounds for $||x - \alpha \mathbf{1}_{\varepsilon A}||$.

▷ Since $|A \cap (n_0, +\infty)| \ge m_0$ we can find $n \ge n_0$ such that $|A \cap (n, +\infty)| = m_0$. Thus, applying the operator Id $-P_n$ to $x - \alpha \mathbf{1}_{\mathcal{E}A}$ we have that

$$\|x - \alpha \mathbf{1}_{\varepsilon A}\| \ge \frac{1}{K_b + 1} \|(\mathrm{Id} - P_n)(x) - \alpha \mathbf{1}_{\varepsilon(A \cap (n, +\infty))}\| \ge \frac{1}{K_b + 1} (|\alpha| h_l^*(m_0) - \delta \|x\|).$$
(9)

▷ As $|A| \ge n_0$ we can find $n \ge n_0$ with $|A \cap [1,n]| = n_0$, so that

$$\|x - \alpha \mathbf{1}_{\varepsilon A}\| \ge \frac{1}{K_b} \left(\|P_n(x) - \alpha \mathbf{1}_{\varepsilon(A \cap [1,n])}\| \right) \ge \frac{1}{K_b} \left(\|x\| (1 - \delta) - |\alpha| h_r^*(n_0) \right)$$
(10)

$$\geq \frac{1-\delta}{K_b} \left(\|x\| - C |\alpha| h_l^*(m_0) \right)$$
(11)

Note that the lower estimations (9) and (11) are respectively increasing and decreasing linear functions f(t) and g(t) on $t = |\alpha|$. Moreover these functions have a unique point of intersection $t_0 > 0$ which can be easily checked to satisfy

$$t_0 = \frac{\|x\|}{h_l^*(m_0)} \cdot \frac{(1-\delta)(1+K_b) + \delta K_b}{C(1-\delta)(1+K_b) + K_b}.$$
(12)

Thus

$$|x - \alpha \mathbf{1}_{\varepsilon A}|| \ge \max\{f(|\alpha|), g(|\alpha|)\} \ge f(t_0) = g(t_0) = \frac{\|x\|}{1 + K_b} \left[\frac{(1 - \delta)(1 + K_b) + \delta K_b}{C(1 - \delta)(1 + K_b) + K_b} - \delta\right].$$

Taking the infimum of $||x - \alpha \mathbf{1}_{\mathcal{E}A}||$ on $\alpha \in \mathbb{R}$ and A satisfying the conditions above, we deduce that

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$$\liminf_{k \to +\infty} \mathscr{D}_k^*(x) \ge \inf_{k \ge m_0 + n_0} \mathscr{D}_k^*(x) \ge \frac{\|x\|}{1 + K_b} \left\lfloor \frac{(1 - \delta)(1 + K_b) + \delta K_b}{C(1 - \delta)(1 + K_b) + K_b} - \delta \right\rfloor$$

Finally, making $\delta \rightarrow 0^+$ we get the desired conclusion.

Proof of Theorem 3.2. To check (i) \Rightarrow (ii), note that using Proposition 3.3 we then deduce that

$$\sup_{m\in\mathbb{N}}h_r^*(m)=\sup_{\substack{A\subset\mathbb{N}\\finite},|\eta|=1}\|\mathbf{1}_{\eta A}\|\leq \sup_{\substack{A\subset\mathbb{N}\\finite},|\eta|=1}\liminf_{m\to+\infty}\mathscr{D}_m^*(\mathbf{1}_{\eta A})\leq (1+K_b)\liminf_{m\to+\infty}h_l^*(m)\leq\infty.$$

It is clear from this inequality that $h_l^*(m)$ and $h_r^*(m)$ are then comparable. To see the converse (ii) \Rightarrow (i), note first that if $h_l^*(m)$ and $h_r^*(m)$ are comparable, then there exists C > 0 such that

$$\sup_{m \in \mathbb{N}} h_r^*(m) \le \sup_{m \in \mathbb{N}} Ch_l^*(m) \tag{13}$$

and so Theorem 3.4 applies. The second statement of the theorem follows also from Theorem 3.4 since \mathscr{B} being monotone means that $K_b = 1$, and condition $\lim_m h_l^*(m) = +\infty$ means that (13) holds for every C > 0.

4. Almost-greediness and polynomials with constant coefficients

Definition 4.1. Let $\mathscr{B} = (e_n)_{n=1}^{\infty}$ be a basis of a Banach space X. We say that \mathscr{B} is *almost-greedy* if there exists a constant C > 0 such that

$$\|x - \mathscr{G}_m(x)\| \le C \,\widetilde{\sigma}_m(x)$$

where

$$\widetilde{\sigma}_m(x,\mathscr{B})_{\mathbb{X}} = \widetilde{\sigma}_m(x) := \inf\{\|x - \sum_{n \in A} e_n^*(x) e_n\| : A \subset \mathbb{N}, |A| = m\}.$$

This notion was introduced by S. J. Dilworth, N. J. Kalton, D. Kutzarova and V. N. Temlyakov in [5], together with two characterizations. First, that a basis is almost-greedy if and only if it is quasi-greedy and democratic. The second characterization is given in the next theorem.

Theorem 4.2 ([5, Theorem 3.3]). Let \mathscr{B} be a basis of a Banach space \mathbb{X} . Then, \mathscr{B} is almost-greedy if and only if for some (resp. every) $\lambda > 1$, there exists a positive constant C_{λ} such that

$$||x - \mathscr{G}_{[\lambda m]}(x)|| \le C_{\lambda} \sigma_m(x), \quad \text{for every } x \in \mathbb{X}, m \in \mathbb{N}.$$

Indeed, $C_{\lambda} \approx \frac{1}{\lambda - 1}$.

As in the case of greedy basis, we can replace the error $\sigma_m(x)$ by the *m*-th error of approximation by polynomials with constant (resp. modulus-constant) coefficients.

Theorem 4.3. Let \mathscr{B} be a basis of a Banach space X and let $\lambda > 1$. The following assertions are equivalent:

- (i) \mathscr{B} is almost-greedy.
- (ii) There is C > 0 such that $||x \mathscr{G}_{[\lambda m]}(x)|| \le C_{\lambda} \mathscr{D}_m(x)$ for every $x \in \mathbb{X}$ and every $m \in \mathbb{N}$.
- (iii) There is C > 0 such that $||x \mathscr{G}_{[\lambda m]}(x)|| \le C_{\lambda} \mathscr{D}_{m}^{*}(x)$ for every $x \in \mathbb{X}$ and every $m \in \mathbb{N}$.

Proof. Implication (i) \Rightarrow (iii) \Rightarrow (ii) are clear using Theorem 4.2 and the inequalities $\sigma_m(x) \leq \mathscr{D}_m(x)$. To show that (ii) \Rightarrow (i) we follow the ideas from the proof of Theorem 4.2: using the hypothesis, we argue that \mathscr{B} is democratic and quasi-greedy.

To see that it is democratic, let $m \in \mathbb{N}$ and $A, B \subset \mathbb{N}$ with |A| = m and $|B| = [\lambda m]$. Let us consider a set $E \supset A, B$ with $|E| = m + [\lambda m]$, let $\delta > 0$ and consider the element $x = \mathbf{1}_A + (1 + \delta)\mathbf{1}_{E \setminus A}$. Then,

$$\|\mathbf{1}_A\| = \|x - \mathscr{G}_{[\lambda m]}(x)\| \le C_{\lambda} \, \mathscr{D}_m(x) \le C_{\lambda} \|\mathbf{1}_{B \setminus A} + (1 + \delta)\mathbf{1}_{B \cap A}\|.$$

As $\delta > 0$ is arbitrary, taking supremum over A and infimum over B we deduce that

$$h_r(m) \leq C_{\lambda} h_l(\lambda m) \leq C_{\lambda} K_b h_l(m),$$

where in the last inequality we have used the estimations mentioned at the beginning of Section 2.

Let show now that the basis \mathscr{B} is quasi-greedy. For, take $m \in \mathbb{N}$ and $r \in \mathbb{N} \cup \{0\}$ such that $[\lambda r] \leq m < [\lambda(r+1)]$. Then,

$$\|x - \mathscr{G}_m(x)\| \le \|x - \mathscr{G}_{[\lambda r]}(x)\| + \|\mathscr{G}_{[\lambda r]}(x) - \mathscr{G}_m(x)\|.$$

Note that $\mathscr{G}_{[\lambda r]}(x) - \mathscr{G}_m(x)$ contains at most $m - [\lambda r] < \lambda$ summands of the form $e_n^*(x) e_n$, so that

$$\left\|\mathscr{G}_{[\lambda r]}(x) - \mathscr{G}_{m}(x)\right\| \leq \left(\lambda \sup_{n \in \mathbb{N}} \left\|e_{n}\right\| \sup_{n \in \mathbb{N}} \left\|e_{n}^{*}\right\|\right) \left\|x\right\|.$$

On the other hand, using the hypothesis

$$||x - \mathscr{G}_{[\lambda r]}(x)|| \leq C_{\lambda} \mathscr{D}_m(x) \leq C_{\lambda} ||x||.$$

Thus, the basis is quasi-greedy.

Recently, S. J. Dilworth and D. Khurana provided the following characterization of almostgreedy bases in the same spirit of Theorem 1.4. In order to present it we have to introduce some notation: if $A, B \subset \mathbb{N}$ are finite sets, we will write A < B if max $A < \min B$.

$$\mathscr{H}_m(x) := \inf\{\|x - \alpha \mathbf{1}_A\| : \alpha \in \mathbb{R}, |A| = m \text{ and either } A < \Lambda_m(x) \text{ or } A > \Lambda_m(x)\}$$

where recall that $\Lambda_m(x)$ is the *m*-th greedy set associated to x introduced in Section 1.

Theorem 4.4. [6] Let \mathscr{B} be a basis of a Banach space X. Then, \mathscr{B} is almost-greedy if and only if there exists C > 0 such that

$$||x - \mathscr{G}_m(x)|| \le C \inf_{1 \le n \le m} \mathscr{H}_n(x) \text{ for every } x \in \mathbb{X} \text{ and } m \in \mathbb{N}.$$

Inspiring on the previous theorem, we can prove the following result which is again strinking as $\mathscr{D}_m(x) \leq \mathscr{H}_m(x)$ and so $\liminf \mathscr{H}_m(x) \approx ||x||$ when $h_l(m)$ and $h_r(m)$ are comparable by Theorem 3.2.

Corollary 4.5. Let \mathscr{B} be a basis of a Banach space X. Then, \mathscr{B} is almost-greedy if and only if there exists C > 0 such that

$$\|x - \mathscr{G}_m(x)\| \le C \,\mathscr{H}_m(x) \quad \text{for every } x \in \mathbb{X} \text{ and } m \in \mathbb{N}.$$
(14)

Proof. If \mathscr{B} is quasi-greedy then 14 holds by Theorem 4.4. To see the converse we use the aforementioned characterization of almost-greedy bases as those being quasi-greedy and democratic. The fact that \mathscr{B} is quasi-greedy follows from the hypothesis and the trivial inequality $\mathscr{H}_m(x) \leq ||x||$. Let us show that \mathscr{B} is democratic. Let $A, B \subset \mathbb{N}$ be finite subsets of cardinality m, and take $E \subset \mathbb{N}$ also with |E| = m and moreover A < E and B < E. Fixed $\delta > 0$ consider the elements $x = \mathbf{1}_A + (1 + \delta)\mathbf{1}_E$ and $y = \mathbf{1}_E + (1 + \delta)\mathbf{1}_B$. Then,

$$\|\mathbf{1}_{A}\| = \|x - \mathbf{1}_{E}\| = \|x - \mathscr{G}_{m}(x)\| \le C \mathscr{H}_{m}(x) \le C \|x - \mathbf{1}_{A}\| = C(1 + \delta) \|\mathbf{1}_{E}\|.$$

Analogously,

$$\|\mathbf{1}_{E}\| = \|y - \mathbf{1}_{B}\| = \|y - \mathscr{G}_{m}(y)\| \le C \mathscr{H}_{m}(y) \le C \|y - \mathbf{1}_{E}\| = C(1 + \delta) \|\mathbf{1}_{B}\|.$$

Since $\delta > 0$ was arbitraty, we conclude that $h_r(m) \leq C^2 h_l(m)$ for every $m \in \mathbb{N}$, and so the basis is democratic.

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